

1 **Evaluation of ATP-bioluminescence based methods for hygienic assessment in**  
2 **fish industry**

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4 Trond Møretrø,<sup>1\*</sup> Marius A. Normann<sup>1</sup>, Håkon R. Sæbø<sup>2</sup>, Solveig Langsrud<sup>1</sup>

5 <sup>1</sup>Nofima, The Norwegian Institute of Food, Fishery and Aquaculture Research, N-1430 Ås, Norway

6 <sup>2</sup>Sjøtroll havbruk AS, 5423 Brandasund

7 \*Corresponding author

8

9 *Correspondence:*

10 Trond Møretrø, Nofima, The Norwegian Institute of Food, Fishery and Aquaculture Research, N-1430

11 Ås, Norway

12 E. mail: trond.moretro@nofima.no

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16 **Running headline:** ATP measurement in fish industry

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18

19 **Abstract**

20 *Aims:* To evaluate ATP bioluminescence-based hygiene monitoring systems under conditions relevant  
21 for fish processing environments.

22 *Methods and Results:* The ATP bioluminescence of fish fractions that are potentially present after  
23 insufficient cleaning of fish processing environments was determined. Different fractions and  
24 interfering substances representing the stages from slaughtering to smoking were prepared and  
25 measured using two different commercial systems. ATP bioluminescence was quenched by acidic  
26 liquid smoke and by sodium chloride even at concentrations as low as 0.9% NaCl. Large variations  
27 were observed between different types of trout homogenates: The ATP bioluminescence from raw  
28 belly fat homogenate were 100-1000 times lower than for trout blood. There were about a 1000-fold  
29 lower ATP bioluminescence in raw, compared to heat treated fractions from trout, with the  
30 exception of blood. The bioluminescence from *Listeria monocytogenes* was very low. Results from  
31 fish processing plants supported the laboratory findings.

32 *Conclusions:* The output from ATP-monitoring instruments depends on the nature of fish soil present,  
33 as well as the presence of sodium chloride and low pH. This may lead to considerable under- or  
34 overestimation of the level of organic soil.

35 *Significance and Impact of the Study:* ATP bioluminescence instruments are widely used by the fish  
36 industry for monitoring hygiene. The monitoring method will only give valuable information about  
37 the hygiene if critical limits are set after a validation period, distinguishing between areas with  
38 different type of soil and between different hygiene zones.

39

40 **Keywords:** ATP; hygiene; food processing; quality control; microbial contamination

41

## 42 **Introduction**

43 Fresh fish is a perishable type of food and strict control of storage conditions and hygiene are  
44 prerequisites for production of products with a stable and good sensory quality. Reducing the  
45 incidences of exposing consumers to products with poor microbial quality could potentially reduce a  
46 barrier for eating fish. During processing, fish residues may accumulate in the processing  
47 environment and result in growth of microorganisms. Such microorganisms can again be transferred  
48 to fish products through cross-contamination and impact food safety and food quality (Møretrø and  
49 Langsrud 2017). As it may be difficult to eradicate bacteria established in the processing  
50 environment, e.g. as biofilms, the emphasis should be on preventing establishing of bacteria. This  
51 can be obtained through proper hygienic design, efficient cleaning and disinfection and a hygiene  
52 monitoring program that discovers possible threats for the quality early rather than collecting  
53 historical data. Thus, there is a need for accurate and fast hygiene monitoring methods that can  
54 measure the level of residual soil and microorganisms after sanitation, to be able to initiate  
55 corrective actions before bacteria have established themselves in the processing environment.

56 Many processing plants use a considerable amount of resources on monitoring the cleanliness of the  
57 processing environment by sampling and analyzing for total bacteria by conventional cultivation  
58 dependent methods (Møretrø and Langsrud 2017). Plants producing ready to eat products like cold  
59 smoked salmon or sushi may also analyze for the pathogenic bacteria *Listeria (L.) monocytogenes*.  
60 Disadvantages with cultivation dependent methods are that they are relative expensive and that it  
61 usually take several days to get the results, thus it is difficult to use the results dynamically in order  
62 to improve the cleanliness of surfaces as part of the HACCP system (Hawronskyj and Holah 1997). A  
63 long response time may also increase the risk of bacteria establishing themselves in the processing  
64 plants and also the risk of products with low quality and increased safety risk reaching the market  
65 before the results are available.

66 Easy and fast alternatives or supplements to bacterial cultivation based monitoring methods are  
67 chemical or enzymatical methodologies based on monitoring residual soil after cleaning and  
68 disinfection. Monitoring of the hygienic level of surfaces by measuring bioluminescence as a function  
69 of the ATP concentration is increasingly popular in the food industry (Davidson et al. 1999; Dostalek  
70 and Branyik 2005) as well as in hospital settings (Amodio and Dino 2014). There are many different  
71 ATP measuring systems at the market, but their common principle is based on that all living  
72 organisms contain ATP and that the enzyme luciferase as a function of the ATP concentration will  
73 emit light which can be measured as relative light units (RLU) with a luminometer (Hawronskyj and  
74 Holah 1997; Champiat et al. 2001). Such methods are providing results within minutes and are  
75 considerably cheaper compared to cultivation dependent analysis of total bacteria or pathogens like  
76 *L. monocytogenes*.

77 In the light of the popularity of the ATP-luminometers it is surprisingly little documentation on the  
78 relation between the amount of food residues and number of RLU measured and the robustness of  
79 the assay to environmental factors. Food industries differ substantially with regard to the sanitation  
80 regime, type and amount of soiling and type and amount of microorganisms present. Also, large  
81 variations occur within the same processing unit, for example between a slaughtering line (blood)  
82 and ready product (cooked product). It is reported that the measured bioluminescence from  
83 different types of food varies up to 1000 times (Corbitt et al. 2000; Whitehead et al. 2008; Viator et  
84 al. 2017), but to our knowledge the relation between the amount of different types of complex  
85 residues that can be found at different stages of processing and ATP bioluminescence has not been  
86 investigated. Secondly, it has not been reported how processing ingredients like salt as well as  
87 common processing steps like heating and smoking affect the level of ATP measured by the  
88 bioluminescence method. Finally, there is limited information available on ATP content of bacteria  
89 dominating in fish processing environments. The ATP method is unspecific, as all living cells contain  
90 ATP, and both soiling from food residues and bacteria will contribute to the signal.

91 Knowing about the differences in measurable ATP-levels in fish fractions and the influence of  
92 processing conditions and common fish associated bacteria on ATP measurements is a starting point  
93 for translation of ATP readings to a metric for the hygienic level. This will enable establishment of  
94 appropriate critical limits for taking corrective actions, and thus improve the quality of decisions  
95 made from such results.

96 The aim of this study was to monitor the ATP-content, as measured by two commercial instruments  
97 based on bioluminescence, of different variants of fish residues that will be found along the same  
98 processing line from slaughter (blood), gutting (fat rich), filleting (protein rich) and heating (cooked  
99 fish), and the effect of processing contaminants from the smoking process (salt, liquid smoke).

100 Furthermore, hypotheses derived from the laboratory work were evaluated based on sampling/data  
101 from three fish factories.

102

103

## 104 **Materials and Methods**

### 105 **ATP instruments and tests**

106 Several hand held ATP measurement devices are on the market. We choose to use the SystemSURE  
107 plus™ (Hygiena, Camarillo, CA, USA), as this instrument/luminometer is commonly used in the  
108 Norwegian food industry and was already in routine use by two processing plants involved in the  
109 project associated with the present study. SystemSURE plus was used with UltraSnap ATP sampling  
110 swabs (Hygiena). In addition, the 3M Clean-Trace NG Luminometer with Clean-Trace surface ATP  
111 UXL100 swabs (3M, Hammfelddamm, Germany) were used. This instrument was routinely used in  
112 one processing plant involved in the project associated with the present study.

113

### 114 **Preparation of muscle/blood samples**

115 Different types of fish residues may be present along the processing lines after cleaning. Various fish  
116 samples were prepared and analysed for ATP and chemical content. Farmed trout on ice and blood  
117 collected from the slaughtering line was received from a Norwegian producer. Trout was the major  
118 fish type processed in two of the plants and salmon in the third plant involved in the project  
119 associated with this work. Two different types of homogenates were prepared: 1. Protein rich, low  
120 fat loin meat (Loin) 2. Fat rich belly meat (Belly fat). For both types, the parts of interest were  
121 removed/cut out from the fish and cut into pieces. Equal weight of distilled water was added,  
122 followed by treatment in a Stomacher for 30 s. For comparison purposes, homogenates of cod loin  
123 and minced beef (both purchased from a supermarket) were made; 50 g was added 50 ml dH<sub>2</sub>O and  
124 homogenated with a Stomacher for 1 min. The filtrate from the Stomacher bag was further diluted  
125 1:3 with dH<sub>2</sub>O, and treated with a Stomacher for two times 1 min. The blood and the homogenates  
126 were kept frozen at -20 °C until use. Cooked samples were made by exposure to 80 °C for 30 min in

127 water followed by cooling to room temperature. All samples were tempered to room temperature  
128 before ATP-measurements.

129

### 130 **Preparation of bacterial samples**

131 The ATP content of bacteria were examined for five strains of *L. monocytogenes* and 10 strains of  
132 other bacteria frequently associated with fish and fish processing. The five *L. monocytogenes* strains  
133 were all isolated from Norwegian plants processing farmed salmon and to some extent also farmed  
134 trout (Møretrø et al. 2017). *Listeria innocua*, from a conveyor belt in a salmon processing plant  
135 (Langsrud et al. 2015) was included for comparison with *L. monocytogenes*, as a representative of  
136 other *Listeria* species than *L. monocytogenes*. In addition, nine other bacterial strains were included,  
137 all isolated from Norwegian salmon processing plants, and representing the genera *Acinetobacter* (2  
138 strains), *Aeromonas* (2), *Pseudomonas* (2), *Serratia* (2) and *Shewanella* (1). These genera are  
139 frequently found in Norwegian salmon processing plants (Langsrud et al. 2015; Møretrø et al. 2016),  
140 and in fish processing environments in general (Møretrø and Langsrud 2017). All bacteria were  
141 stored at -80 °C.

142 Bacteria were streaked to tryptic soy agar (TSA, Oxoid, Basingstoke, UK), and incubated at 30 °C.  
143 Colonies were inoculated in tubes with tryptic soy broth (TSB, Oxoid), which was incubated at 30 °C  
144 with 150 rpm agitation overnight. From the overnight cultures, approximately 1 µl was transferred to  
145 3 ml salmon juice (prepared as previously (Langsrud et al. 2015), with the following modifications;  
146 after the autoclaving step the suspension was centrifuged twice (8000 g, 5min) to remove as much  
147 particles as possible) and incubated at 12 °C with 150 rpm agitation for 3 days. 1 ml of culture from  
148 salmon juice was harvested by centrifugation (8000 g, 5 min) and washed once in 0.85% NaCl, before  
149 being resuspended in 1 ml 0.85% NaCl. The resulting suspensions were incubated at 12 °C with 150  
150 rpm agitation for 2 days, before ATP-monitoring. The cell numbers of all suspensions were

151 determined by plating to iron agar, and colony forming units determined after incubation at 25 °C for  
152 two days.

153

#### 154 **Chemical analyses**

155 Dry weight, lipid, protein, sodium chloride and pH were determined for all the prepared  
156 homogenates as well as fish oil. Dry weight was determined indirectly as 100% - % water content,  
157 where the % water content was determined according to NMKL 23 (NMKL 1991). Fat content was  
158 determined by NMR (Maran Ultra LF-NMR, Oxford Instruments, Oxfordshire, England). pH was  
159 measured by a pH meter (PHM210, Radiometer Analytical SAS, Villeurbanne, Cedex, France). The  
160 Kjeldahl method was used to measure the protein content. Sodium chloride was determined by using  
161 a Corning 926 Chloride analyzer (Corning limited, Essex, England).

162

#### 163 **Effect of sodium chloride, fish oil and liquefied smoke on ATP measurement**

164 The influence of sodium chloride on ATP bioluminescence was tested at different sodium chloride  
165 concentrations (0, 0.9, 4.5, 9, 13.5, 18 and 21.6 % (w/v)). The effect of salmon oil (H-oil, Hordafor,  
166 Norway), and liquefied smoke (used for smoking fish, Smokeze Enviro 24PA, Red Arrow International,  
167 Manitowoc, Wisconsin, USA) on ATP bioluminescence were tested. The ATP readings of pure  
168 substances were also measured as controls. The experiment was performed in triplicate on different  
169 days.

170

#### 171 **ATP Bioluminescence assay**

172 For ATP measurement of fish and beef samples, 10 µl were pipetted to UltraSnap or Clean-Trace  
173 swabs. If the ATP readings were outside the linear measurement range (>3000 RLU for UltraSnap and



174 >100 000 RLU for Clean-Trace, as determined by testing with dilutions of pure ATP, Figure S1), the  
175 samples were diluted in dH<sub>2</sub>O before a new measurement.

176 To test whether different compounds present on food processing surfaces inhibited or potentiated  
177 RLU-signals, 10 µl of a mixture of ATP (1 x 10<sup>-7</sup> M final concentration, resulting in 1 x 10<sup>-12</sup> mol ATP  
178 applied in total) and the chemical compound (or water as a control) were applied directly to the tips  
179 of the ATP swabs and the RLU measured according to the manufacturer's instructions.

180 The bacterial suspensions were diluted 10 times in dH<sub>2</sub>O, before a volume of 10 µl of the resulting  
181 suspensions was added to Clean-Trace and UltraSnap ATP swabs, and ATP measured according to the  
182 manufacturer's instructions.

183

#### 184 **ATP measurements and microbial sampling in fish processing plants**

##### 185 *Sampling in trout and salmon processing facilities*

186 A plant processing trout and salmon, used ATP measurement (UltraSnap/SystemSURE) of surfaces  
187 after cleaning for a five month period. During the same period, the plant also used Hygicult TPC  
188 dipslide (Orion Diagnostica) to measure bacteria at surfaces after cleaning.

##### 189 *Sampling in facilities receiving and processing white fish*

190 Two Norwegian factories that received freshly caught white fish dominated by cod, haddock and  
191 saithe from fishing vessels, and that produced frozen and salted fish were visited and sampled. The  
192 time of the visit was off-season, thus no fresh fish were received at the visiting day, however salted  
193 fish were stored in trays and there were some activity in the factories. Samples of surfaces like  
194 conveyors, machines, trays and floors were taken for a total of 18 sample sites from the two plants.  
195 For each sampling point, a surface area of 25 cm<sup>2</sup> was sampled and the ATP level measured with the  
196 Clean-Trace system. Total bacterial count was determined after sampling of 25 cm<sup>2</sup> with sterile swabs

197 (Mesoft, Mölnycke Health Care AB, Gothenburg, Sweden), moistened with saline (0.85% NaCl),  
198 followed by plating to iron agar (Oxoid) and incubation at 15 °C.

199

## 200 **Statistics**

201 Statistical tests were calculated in Minitab vs18 (Minitab Ltd, Coventry, UK). Bacterial numbers were  
202  $\log_{10}$ -transformed before calculations. Statistical differences between means were tested using One-  
203 way ANOVA (Tukey multiple comparisons) when more than two means were compared and two-  
204 sample t-test for comparison between two mean values. Statistically significant difference was set for  
205 differences resulting in p-values below 0.05.

206

## 207 **Results**

### 208 **ATP and chemical content of fish associated samples**

209 In general, the Clean-Trace system measured systematically almost 10 times higher RLU values than  
210 UltraSnap/Hygiena. For the control without ATP (10  $\mu$ l dH<sub>2</sub>O), the ATP bioluminescence were  
211 monitored as 0 and 35-40 RLU for Hygiena and Clean-Trace, respectively (Figure S1). It was a high  
212 variation in the content of ATP between the different fractions from trout as measured by both ATP  
213 assays. For fractions from raw trout, the highest bioluminescence levels (RLU  $\mu$ g<sup>-1</sup>) were found in  
214 blood and loin, about 100-fold higher than in the belly fat homogenate (Table 1).

215 Heat treated homogenates showed ATP bioluminescence levels up to >1000 fold higher than  
216 corresponding raw fractions. The increase in measured bioluminescence after heat treatment was  
217 highest for trout belly fat and beef juice and lowest for trout blood and cod juice (Figure 1). Total  
218 bacterial numbers in the samples applied (per 10  $\mu$ l) in the assay (naturally occurring from raw  
219 materials) were <10 cfu in trout fractions, 1800 cfu in cod juice and 3 cfu in beef juice.

220

### 221 **ATP content of bacteria associated with fish processing**

222 The highest ATP bioluminescence among the bacteria were found for the two *Acinetobacter* sp.  
223 (Figure 2). Overall, the ATP levels of cells of the gram positive *Listeria* strains were lower ( $p < 0.05$ )  
224 than other bacteria which were all gram negative. There were no significant differences in ATP  
225 content between the five *L. monocytogenes* strains. The results for *L. innocua* was similar as for *L.*  
226 *monocytogenes*.

227

### 228 **Influence of processing associated factors on ATP tests**

229 Presence of sodium chloride had an adverse effect on the ATP bioluminescence for both assays  
230 tested (Figure 3). For Clean-Trace, sodium chloride reduced the bioluminescence at a concentration  
231 as low as 0.9% compared to a control not containing NaCl ( $p = 0.017$ ). No significant reduction of ATP  
232 bioluminescence was found at 0.9% NaCl for UltraSnap, but it was negatively affected at  
233 concentrations  $\geq 4.5\%$ .

234 Liquefied smoke had a negative effect on ATP bioluminescence, measured with both Clean-Trace and  
235 UltraSnap (Figure 4), an adverse effect was also seen for 10% liquefied smoke ( $p < 0.05$ ). The decrease  
236 in presence of liquefied smoke, which had a pH of 2.2, was in the same range as for Glycine HCl  
237 buffer with pH 2.2. The ATP reading of 10  $\mu$ l undiluted liquefied smoke was 0 and 19 RLU with  
238 UltraSnap/SystemSURE and Clean-Trace, respectively.

239 The fish oil did not affect the ATP measurement (data not shown). The ATP reading of 10  $\mu$ l undiluted  
240 fish oil was 0 and 63 RLU with UltraSnap/SystemSURE and Clean-Trace, respectively.

241

### 242 **ATP measurements in fish processing plants**

243 *Trout and salmon processing plant*

244 During a 5 month period, 23.1% of samples from the slaughter department and 1.9% from the  
245 filleting department had ATP >100 RLU, which was the critical limit set by the plant. During the same  
246 period, the plant also used Hygicult TPC dipslide to measure bacteria at surfaces, and 1.9% of the  
247 samples from slaughter- and 0.8% from the filleting department had unacceptable high bacterial  
248 numbers (>80 cfu cm<sup>-2</sup>).

249

250 *White fish processing plants*

251 Only sites that were visible unclean (with a bacterial level of 5-7 log cfu cm<sup>-2</sup>) were chosen for  
252 microbial monitoring and ATP monitoring using UltraSnap. The average ATP bioluminescence of sites  
253 with visible salt residues (5 samples, average 5.7 log cfu cm<sup>-2</sup>) was 182 RLU whereas sites without  
254 visible salt (13 samples, average 6.2 log cfu cm<sup>-2</sup>) was 43 400 RLU.

255

256 **Discussion**

257 Hygienic control using ATP-monitoring instruments are widely used by the food industry as a rapid  
258 and cost effective way to discover failure in the sanitation processes. Surprisingly, although marketed  
259 as a monitoring method for food debris, scientific documentation on the level of ATP, as measured  
260 by the ATP-bioluminescence method, in different food soils is scarce. Also, how processing conditions  
261 and components affect the results is practically unknown, with the exception of the role of  
262 disinfecting agents. The variation in ATP bioluminescence between different types of raw fish  
263 fractions was considerable, with the highest ATP values observed for blood. Heat treatment  
264 increased the ATP bioluminescence substantially for trout belly fat and loin, as well as beef juice. To  
265 our knowledge such an effect of heat treatment on ATP measurement has not been reported  
266 previously, for any types of food soil. As heat treatment in itself unlikely result in a higher ATP-

267 content, it seemed to be a substantial underestimation of ATP content in raw trout loin and belly fat.  
268 The ATP assay is dependent on lysis of cells to release ATP, and this effect is obtained by a  
269 lysis/extracting solution supplied with the ATP sampling swabs. It is reasonable to believe that some  
270 types of cells (e.g. fat cells) are more difficult to lyse than other cells (e.g. blood cells), and that the  
271 heat treatment of the former increase the lysis or facilitate ATP extraction so more of the ATP will be  
272 available for measurement. For the trout soils, the underestimation of ATP was highest in belly fat,  
273 which could be indicating that raw fat in itself inhibited the ATP measurement. However, this  
274 hypothesis was rejected as we conducted a control experiment showing that pure fish oil did not  
275 affect ATP measurement. Thus it is more likely that the underestimation in raw fat-rich soil is due to  
276 limited availability of ATP for the measurement system, e.g. caused by insufficient lysis as suggested  
277 above.

278 As the ATP tests are designed to be used to swab surfaces, we performed control experiments to test  
279 whether the main conclusions obtained when applying soils to the swabs with a pipette also were  
280 supported in experiments where the swabs were used on a surface. Experiments where 50 µl soil  
281 was applied to a 2 X 2 cm coupon of stainless steel and dried for 2 h in a safety hood before swabbing  
282 the surface with a ATP swab, showed that the bioluminescence of heat treated trout belly fat  
283 homogenate was about 100 times (for both UltraSnap and Clean-Trace swabs) higher than for raw  
284 belly fat. Also, the bioluminescence of raw blood was about 100 times (for UltraSnap and Clean-  
285 Trace) higher than for raw belly fat homogenate. Thus the main conclusions obtained for adding soils  
286 directly to swabs were confirmed with soils dried on surfaces before swabbing.

287 Other studies have also demonstrated differences in ATP content of different types of food and food  
288 soils using bioluminescence principles for monitoring. In a previous study where different type of  
289 food matrices were tested with the Accupoint ATP measurement system, the highest ATP signals  
290 were from orange juice, followed by yogurt, ground beef, deli turkey and flour (Viator et al. 2017).  
291 Whitehead et al. (2008) measured ATP content (ATP measurement systems from Hygiene) of

292 different types of food soil, including heat treated fish (cod) extract, which had comparable ATP  
293 bioluminescence levels compared to meat and cheese extract. Although both studies found  
294 differences in ATP levels between different types of food matrices they did not point out differences  
295 between raw and heat treated products. Another difference between these studies and the present  
296 study, is that the latter compare different variants of residues that will be found along the same  
297 processing line.

298 In this investigation it was shown that two ATP assays tested were adversely influenced by sodium  
299 chloride, which is widely used in the fish industry, e.g. for production of smoked salmon/trout and  
300 salt-cured cod. Sodium chloride had a quenching effect on the ATP readings even at as low  
301 concentrations as 0.9%. The low ATP readings may be due to an adverse effect of sodium chloride on  
302 luciferase activity since the enzyme is inhibited in presence of sodium chloride concentrations as low  
303 as <1% (Ishida et al. 2003), due to chloride binding to the active site of the enzyme (Ishida et al.  
304 2003). If this hypothesis is correct, this quenching effect may also effect other ATP systems than the  
305 two tested in this study, as the same luciferase enzyme is used in many systems, however this  
306 remains to be tested.

307 Liquid smoke adversely affected the ATP measurements, probably because of its low pH. A similar  
308 reduction in luminescence was found by reducing pH to 2.2 as adding liquid smoke (with pH around  
309 2.2). The mechanism of action could be inhibition of the luciferase enzyme which has an optimum for  
310 light emission at pH 7.8 (Lundin et al. 1976). Based on the adverse effect of sodium chloride on ATP  
311 readings, the sodium chloride concentration of liquid smoke measured. The sodium chloride  
312 concentration was below the detection limit of the assay (<0.1%) and it was concluded that the  
313 adverse effect of liquid smoke observed could be mainly explained by pH.

314 Among the bacteria tested, the highest ATP levels were observed for *Acinetobacter* sp. (Figure 2),  
315 with ATP bioluminescence values corresponding to a detection limit of 50-100 cfu. For the other  
316 bacterial genera commonly present in fish industry the detection limit with ATP measurement was

317 around 1 000 cfu. The results are within the lower range of what found in other studies, where the  
318 detection limit with ATP devices has been reported to be in the area of  $10^2 - 10^8$  cfu, dependent of  
319 both the growth phase and the type of bacteria (Turner et al. 2010; Vogel et al. 2014). As reported  
320 above, the ATP levels of several types of food soils were high, with detection limits in the low  $\mu\text{g}$   
321 range. The ATP content in eukaryotic cells of food residues are reported to be much higher than in  
322 bacterial cells (Dostalek and Branyik 2005; Turner et al. 2010). The suggested minor role of bacteria  
323 as the source of ATP in hygiene monitoring as can be deduced from the present study is to some  
324 extent supported by investigations from food processing surfaces. Some studies from the food  
325 production show a correlation between ATP bioluminescence and bacterial counts on surfaces  
326 (Osimani et al. 2014; Hammons et al. 2015), while a poor correlation is observed in other studies  
327 (Poulis et al. 1993; Moore and Griffith 2002). It was observed that the bacteria usually dominating in  
328 fish processing plants had higher ATP values than the pathogenic bacterium *L. monocytogenes*. The  
329 RLU per million cfu was more than 1000 times lower for *L. monocytogenes* than for *Acinetobacter* sp.  
330 Since the ATP signal of *L. monocytogenes* is low, and *L. monocytogenes* is also usually present in  
331 lower numbers than other bacteria in the industry (Møretrø and Langsrud 2017). Taken together this  
332 shows that it is difficult to use ATP as a direct measure of bacterial levels after cleaning and  
333 disinfection, especially for subgroups like *L. monocytogenes* representing a minority of the total  
334 number of bacteria. To our knowledge, only one study have reported on correlation between ATP  
335 readings and *L. monocytogenes* prevalence (Hammons et al. 2015). However as bacterial  
336 contamination on surfaces are believed to be linked to soiling of surfaces and inadequate sanitation,  
337 the ATP readings from food residues may be correlated to the risk of bacterial contamination.

338 The main findings in this study was supported by measurements with both ATP luminometers tested.  
339 Also, several of the conclusions are likely to be relevant also for other types of ATP measurement  
340 systems since they all use the luciferase enzyme. However, it cannot be ruled out that differences in  
341 the composition of the lysis solution between ATP swabs can lead to different results for different  
342 types of food.

343 According to the manufacturers of the ATP instruments, each user should validate the method  
344 against their own standards to set critical limits for corrective actions, but it is common to use “rules  
345 of thumb” based on general criteria for hygiene. Some users get sceptical to the method because  
346 their expectations of a correlation with bacterial counts are not fulfilled, although such a correlation  
347 is not claimed by manufacturers nor supported by the scientific literature on the topic. The results  
348 from the laboratory tests in the present study, such as the large variation in ATP-content measured  
349 for different fractions of trout and bacteria commonly found after sanitation as well as the huge  
350 impact of processing factors, such as heating, salting and smoking, raises a lot of questions about  
351 how to interpret ATP-monitoring data from the food industry. One single processing line in the fish  
352 production plant may include zones with mainly debris containing blood (slaughtering), fat (gutting),  
353 protein rich muscle (filleting) and cooked, salted or smoked residues. The laboratory findings  
354 indicated that using the same critical limits for all parts of the process could potentially lead to  
355 corrective actions where the hygiene is sufficient (e.g. slaughtering where traces of blood would give  
356 a very high ATP-signal) and lack of corrective actions where corrective actions should be taken (e.g.  
357 “high hygiene zone” such as the smoking department where traces of salt and low pH could result in  
358 low ATP signals even for spots with high numbers of *L. monocytogenes* and soil). To investigate this  
359 further in real conditions, historical data from a trout factory (covering slaughter to fillet) were  
360 analysed and two factories producing salt-cured cod (covering areas with high and low salt content)  
361 were visited and sampled.

362 The results from the salt-curing cod factories suggested that the presence of salt may indeed result in  
363 false negatives in hygiene monitoring since low ATP readings were obtained from sampled surfaces  
364 with bacterial counts as high as  $10^5$  cfu cm<sup>-2</sup> and visible soil. In salting areas, large amount of salt is  
365 used and salt are often visually present on equipment/machines during production. Although the  
366 sanitation process will remove the majority of the salt, the ATP test is so sensitive to salt that it is  
367 likely the ATP readings in salting areas may occasionally be underreported due to presence of sodium  
368 chloride.



369 The results from analyses of historical data supported our hypothesis that using the same critical  
370 limits for different parts of the processing line can result in either under- or overestimation of the  
371 hygiene for some parts of the line. Overall, the ATP levels were much higher in the slaughter-  
372 compared to the filleting department, while the bacterial numbers were quite similar for both  
373 departments. The low correlation of ATP vs bacterial numbers between the departments indicates  
374 that careful interpretation of ATP results is important. The same critical limit was used for the whole  
375 processing plant and the ATP results indicated that the hygiene in the slaughterhouse was  
376 considerable worse than in the filleting department, despite the fact that bacterial results taken over  
377 a long period of time showed very small differences between the departments. The explanation of  
378 this is unknown but may be based on different types of soil present in the two departments. In the  
379 slaughterhouse there is likely to be more blood present which can give high ATP readings, while in  
380 the filleting department there is less blood and more of other types of soil e.g. muscle and fat,  
381 resulting in lower ATP bioluminescence.

382 In conclusion, the output from ATP bioluminescence instruments depends not only on the hygienic  
383 level after sanitation, but on the nature of food residues present. ATP bioluminescence instruments  
384 are widely used by the fish industry for monitoring hygiene today and our results shows that ATP  
385 measurements can be used to detect most types of fish fractions that could potentially be present  
386 after cleaning and disinfection. However, ATP meters will only give valuable information about the  
387 hygiene if critical limits are set after a validation period, distinguishing between areas with different  
388 type of soil and the hygiene zone. ATP monitoring should also only be used as a supplement to, and  
389 not as a replacement of regular microbiological sampling and a specific seek-destroy surveillance  
390 system for *Listeria monocytogenes*.

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398

399 **Conflict of interest**

400 No conflict of interest declared

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460 **Table 1.** ATP (as measured by ATP assays) and chemical content of trout fractions

<b>Samples*</b>	<b>RLU UltraSnap<sup>†</sup></b>	<b>RLU Clean-Trace<sup>†</sup></b>	<b>Fat (%)</b>	<b>Protein (%)</b>	<b>Dry matter (%)</b>	<b>NaCl (%)</b>	<b>pH</b>
<b>Loin</b>	3360 (301)	16656 (1659)	11.0	22.8	35.2	0.13	6.2
<b>Belly fat</b>	24 (2)	323 (41)	70.9	6.6	87.9	0.15	6.4
<b>Blood</b>	4590 (537)	30131 (5668)	1.8	5.1	11.4	1.18	7.1

461 \*All samples are based on raw fish

462 <sup>†</sup>All ATP measurements are for 10 µg sample applied to swabs, and are mean (standard deviation) of 4-6 measurements on two individual tubes of fish  
 463 fractions. All RLU values are corrected for dilutions performed before measurements

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465

## Figure legends

**Figure 1.** Effect of heat treatment (80 °C, 30 min) of fish and beef soils on ATP bioluminescence. Fold increase in ATP bioluminescence by heat treatment (means and standard error of a total of four heat treated samples) shown for each food fraction and two instruments.

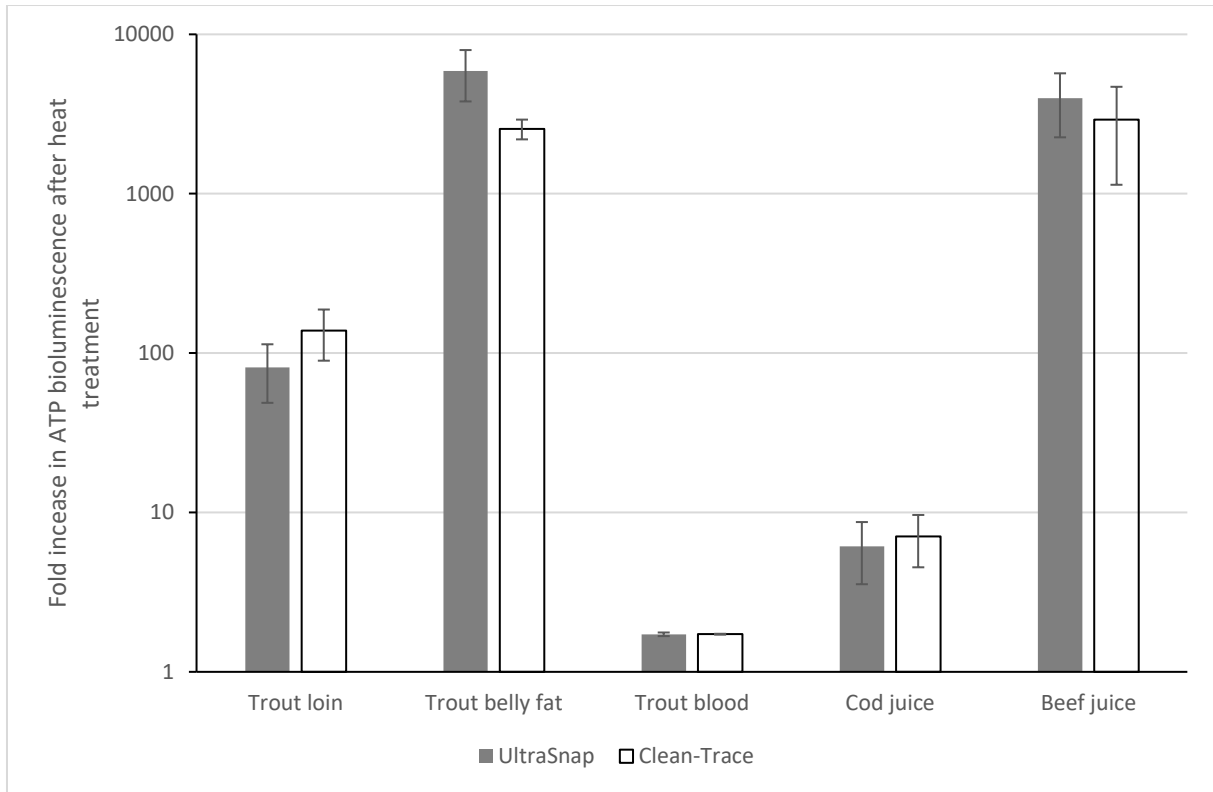
**Figure 2.** ATP Bioluminescence of bacterial strains. ATP bioluminescence for 1 million bacterial cells (RLU  $10^6$  cfu) (mean values and standard error of three replicates) shown for 15 bacterial strains belonging to 7 genera and using two instruments.

**Figure 3.** Effect of sodium chloride on ATP bioluminescence. Reduction in bioluminescence (% , means of three replicates and standard errors) plotted against sodium chloride concentration shown.

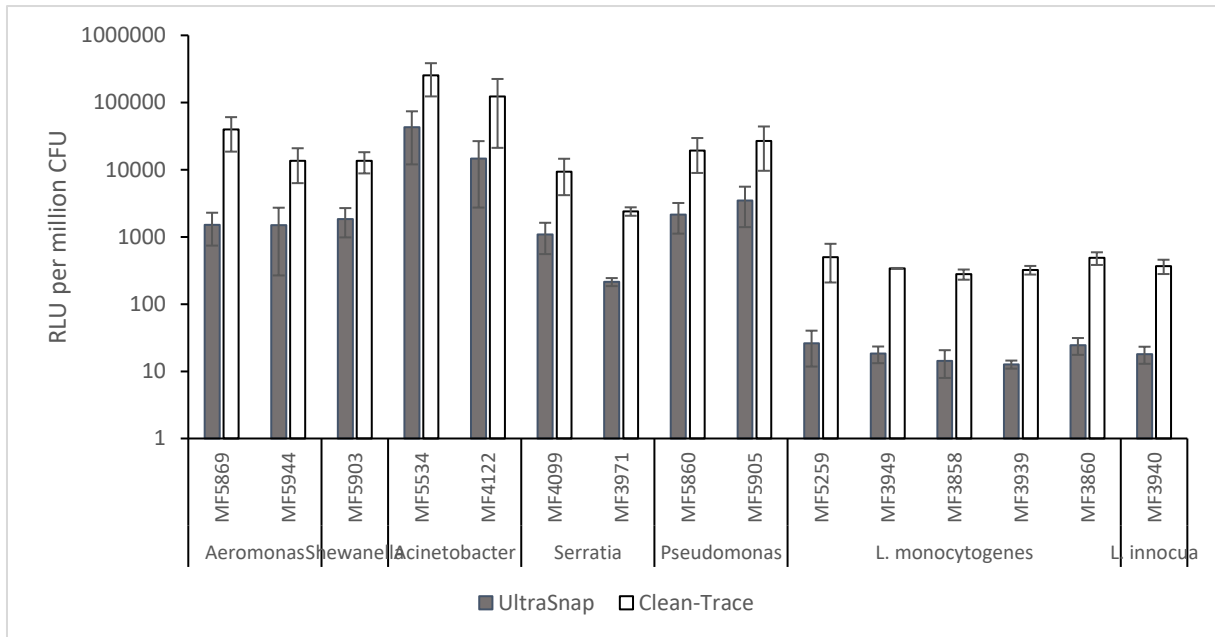
**Figure 4.** Effect of liquid smoke on ATP bioluminescence. Reduction in bioluminescence in the presence of 50% liquid smoke (pH 2.2), 5% liquid smoke or in 200 mM Glycine HCl (pH 2.2) (% reduction, means of 2-3 replicates and standard errors shown for each condition).

### Legend Supporting information Figure:

**Figure S1.** Relative units measured as a function of ATP content (femtogramol,  $10^{-15}$  mol) added to swabs.

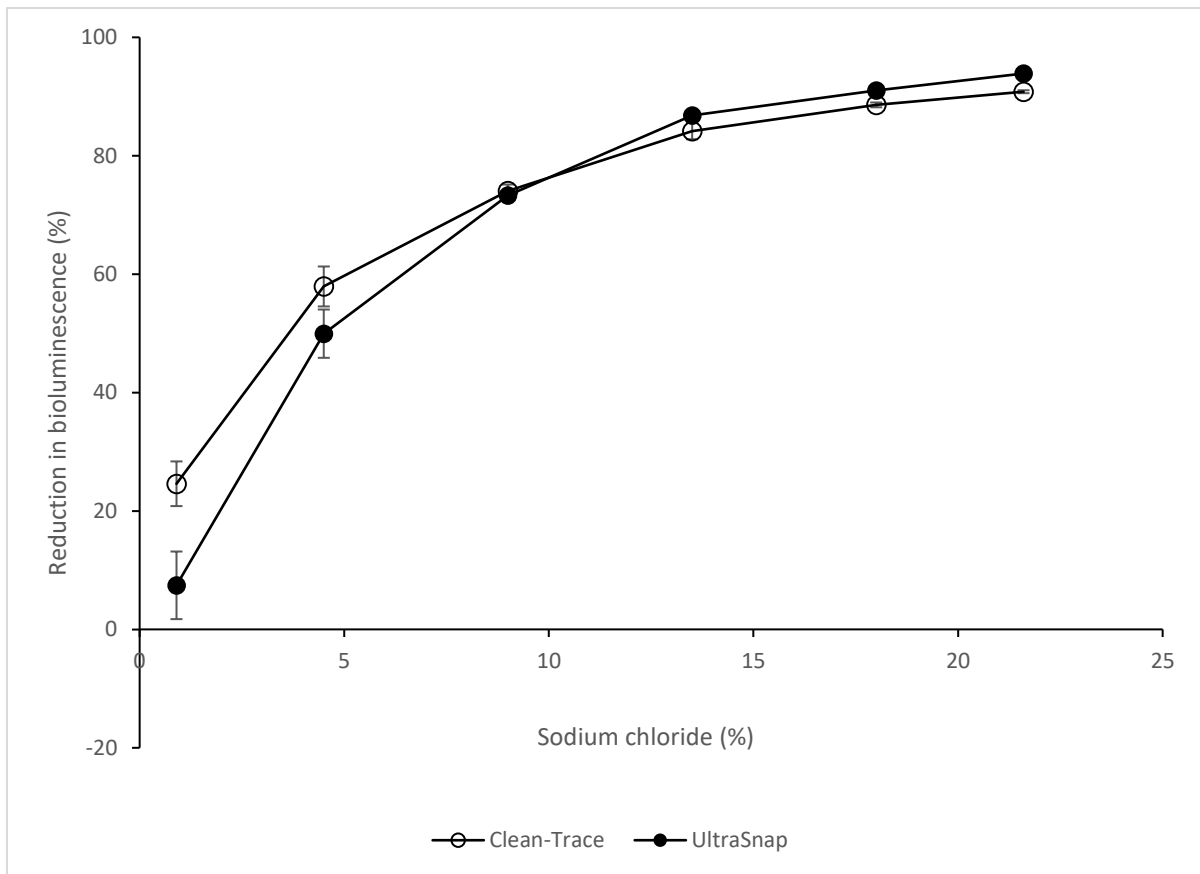


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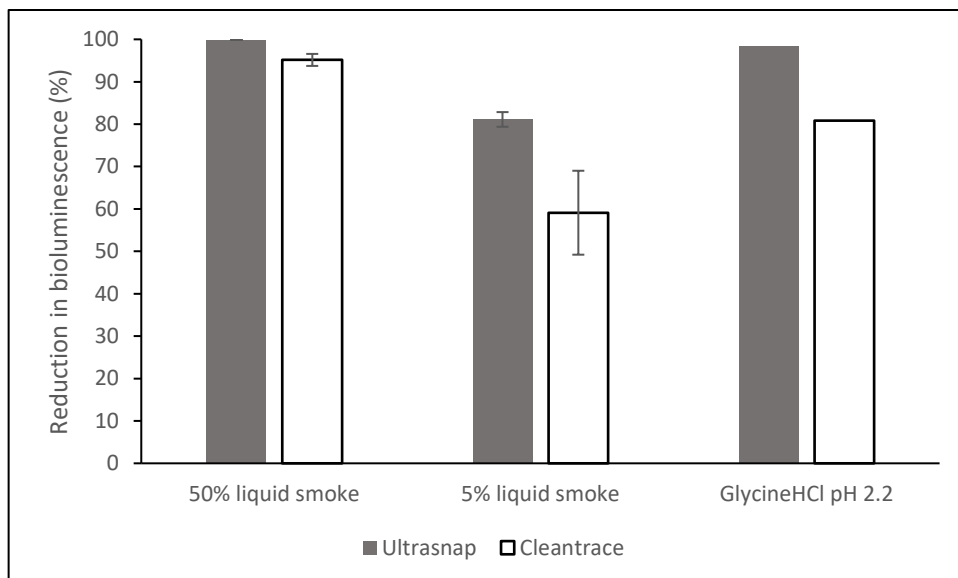


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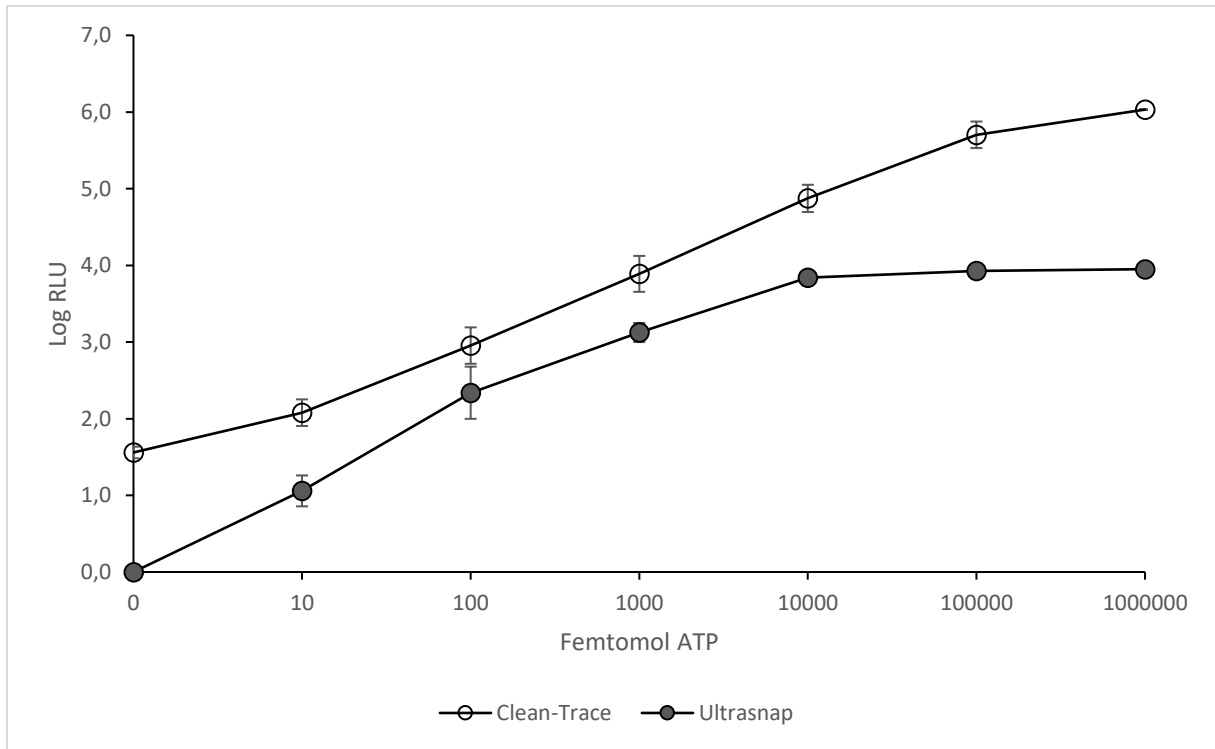
## Supporting information

### Linearity and sensitivity of ATP reading systems

Initially, the linearity and sensitivity of the ATP reading systems were tested by applying 10 µl of tenfold dilutions of pure ATP (Promega Biotech AB, WI, USA) in dH<sub>2</sub>O in the concentration range directly to the ATP swabs. The amount ATP added was in the range 1 x10<sup>-9</sup> to 1X 10<sup>-14</sup> mol. The test was performed in triplicate with newly made dilution series for each replicate. In the rest of the studies where samples were measured after application with a pipette directly to swabs, samples were diluted if necessary with dH<sub>2</sub>O to <3000 RLU for UltraSnap and <100 000 RLU for Clean-Trace, numbers presented are corrected for dilutions

RLU readings with 3M Clean-Trace NG Luminometer with Clean-Trace swabs correlated linearly with ATP ( $R^2=0.9994$ ) up to 10<sup>-10</sup> mol ATP. Hygiena SystemSURE Plus measurements with UltraSnap correlated linearly with ATP up to 10<sup>-12</sup> mol ATP ( $R^2=0.9791$ ) (Figure S1). At ATP amounts around 10<sup>-12</sup> mol ATP the Hygiena SystemSURE Plus reach its maximum readout of 9999 RLU. Clean-Trace showed systematically about ten times higher RLU than UltraSnap in the linear range (10-10 000 femtomol), approximately log 1 and 1 RLU per 10<sup>-15</sup> mol ATP, respectively, in the linear ATP range. (Figure S1). For Clean-Trace the control sample without ATP showed an output of 30-40 RLU, significantly different from zero ( $p < 0.05$ ), while reading for dH<sub>2</sub>O with the UltraSnap test was zero.

In further tests in this study, samples to be measured were diluted to an RLU reading that was within the linear area of the ATP test to be used.



**Figure S1.** Relative units measured as a function of ATP content (fentomol,  $10^{-15}$  mol) added to swabs