

1 **Use of reflex indicators for measuring vitality and mortality of *Chionoecetes opilio* (snow crab)**
2 **in captivity.**

3

4 Running title: Measuring vitality in snow crabs

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7 Keywords: Reflex indicator assessment, snow crab, air exposure

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9

10 **Abstract**

11 The snow crab (*Chionoecetes opilio*) is one of the most important commercial crabs in the world,
12 it is heavily exploited in Atlantic Canada, Alaska, the Sea of Japan and the Barents Sea. Catches
13 in the Barents sea north of Norway have increased dramatically in the last decade. Most of the
14 world's catch is processed, frozen and exported overseas. However, recently there has been
15 considerable interest in exporting live snow crab, particularly in Norway. The stress of capture
16 and live transport can result in significant mortalities. In order to establish a live export industry
17 for snow crab, the welfare of the animal must be monitored throughout all the steps of the live
18 transport process. In the current experiment the reactions of snow crabs exposed to increasing
19 periods of air exposure were measured in terms of appropriate reflex indicators, incidence of
20 mortality, blood lactate levels and blood protein and hemocytin. The aim was to test the
21 suitability of reflex indicators to reflect vitality (stress) and not just to predict mortality. This
22 would be compared with traditional blood biochemistry techniques for measuring crustacean
23 stress. The study demonstrated that the reflex index score is suitable to assess the vitality of
24 snow crab. Longer air exposure periods render higher mortality rates and less vital individuals.
25 The authors believe using vitality reflex indicators would be a suitable way of measuring crab

26 welfare throughout the live holding and transport process. Further research is required to refine
27 the technique and to test reflex indices against other crustacean species.

28

29 **Keywords**

30 Reflex indicators, vitality, mortality, *Chionoecetes opilio*, snow crab

31

32 **Introduction**

33 The snow crab (*Chionoecetes opilio*) is one of the most important commercial crabs in the world,
34 it is heavily exploited in Atlantic Canada, Alaska and the Sea of Japan (Bailey and Elner, 1989).

35 The snow crab is an invasive species in the Barents Sea and was first observed in 1996 (Kuzmin
36 *et al.*, 1999). Furthermore, Alsvåg *et al.*, (2009) described self-sustainable populations of snow
37 crab in the Barents Sea in 2009 and subsequently the species has developed into a significant
38 fishing resource in the Barents Sea. In 2014 landings in Norway were 4000t and in 2015 exceeded
39 9000t and future catches are estimated to be significantly higher (Siikavuopio *et al.*, 2017).

40 Currently most of the Norwegian snow crab catch (and most of the worldwide catch) is
41 processed and sold as frozen product but there is a strong demand and a growing interest in
42 Norway in supplying live snow crab to markets in Asia (Lorentzen *et al.*, 2016; 2018). This is
43 partially a result of the success of live king crab exports from Norway which have increased
44 exponentially in the past decade (Sundet, 2014). As it has been shown for king crab and a range
45 of other crustacean species the stress of capture and live transport can result in significant
46 mortalities if the animal is not cared for correctly throughout the entire value chain. Including
47 when the animal enters the trap, is transported back to shore, is held in live storage facilities, is
48 transported to 'live hubs' for storage and finally during transport to market and live storage and
49 display in the market. Stress is cumulative throughout this process (Jennings *et al.*, 2016) and an
50 industry friendly, accurate indicator of vitality (a proxy for stress) and mortality that could be

51 sued throughout the live transport would be a very valuable tool in the development of the live
52 trade for snow crab from Norway and other countries.

53 RAMP models use reflex actions to score vitality impairment of marine species to predict
54 fisheries bycatch mortality. The RAMP model is constructed using the relationship between
55 vitality impairment and mortality. This technique has been used extensively to predict discard
56 mortality in fish and crustaceans exposed to fishery stressors such as air exposure and changes
57 in temperature and/or depth. An assessment of discard mortality of two Alaskan crab species,
58 the Tanner crab (*Chionoecetes bairdi*) and snow crab (*Chionoecetes opilio*), based on reflex
59 impairment was made by Stoner *et. al.* (2008). In this study six reflex indicators were identified
60 as being useful for assessing stress. The advantages of using RAMP models include their relative
61 low cost, they are simple to use, do not require extensive training or equipment and they are
62 less invasive than sampling blood or taking biopsies from the animal. It is also possible to
63 generate a large sampling number relatively quickly. Although the RAMP model has been
64 extensively used to monitor and predict mortality after fishing it has not been used as a tool for
65 measuring the vitality of crustacean held in captivity (either for fattening post capture or in crab
66 rearing facilities). In the current study the authors investigated whether reflex impairment
67 would be a feasible method of measuring and scoring stress (or vitality) as well as survival in
68 captive snow crabs, based on the reflexes identified by Stoner *et. al.* (2008). Snow crabs were
69 exposed to increasing periods of air exposure and their reflex indicators, incidence of mortality
70 and blood lactate, blood protein and hemocyanin were measured and compared as possible
71 stress indicators.

72

73 **Materials and Methods**

74 *Reflex index assessment*

75 The reflex indicators identified in Stoner (2012) were used in this experiment. These consist of
76 a suite of six reflex actions which are known reflex action mortality predictors (see in Stoner *et*

77 *al.*, 2008; 2009). The combination of all six reflexes (impaired reflex=0, reflex presence = 1)
78 render a reflex index per individual (all six reflexes impaired = 0 while all six reflexes present =
79 6). In the current experiment 210 male snow crabs (*C. opilio*) were caught by the vessel North-
80 eastern, with snow crab pots in the NEAFC area (74.58 latitude and 38.49 longitude). The crabs
81 were transported live to the Nofima Aquaculture Research Station in Tromsø, Norway (~70°N),
82 and immediately placed in one 6 m³ tanks supplied with running seawater (~ 3.0 °C, salinity ~
83 32). The holding tank were supplied with ambient seawater at ~4°C. The crabs were tagged with
84 T-bar anchor tags (Hallprint Ltd., Victor Harbour, SA, Australia) and sorted in seven groups of 30
85 individuals. On the first day of experiment, all the crabs were removed from the water and
86 exposed to air at ambient room temperatures between 8 and 10°C. Thereafter, every four hours,
87 one group of crabs was assessed for reflex impairment (i.e. after 0, 4, 8, 12, 16, 20 and 24h of
88 air exposure). Immediately, after being assessed they were returned to the holding tank. After
89 re-immersion in seawater the crabs were assessed again after 48h and after seven days, the
90 proportion of crabs which showed reflex impairment was determined together with the
91 mortality probability (alive crabs/dead crabs x 100).

92

93 *Blood sampling and testing*

94 Blood samples were collected from individual crabs using a 2ml syringe from the base of the
95 second walking leg. Blood samples were taken from the individuals in groups exposed to air 0h,
96 12h and 24h. Immediately after collection of the sample the blood lactate was measured using
97 a Freestyle Lite™ blood lactate meter (Abbott, Illinois). Blood samples were also collected,
98 immediately chilled on ice and then frozen at -80°C for later analysis of blood protein and
99 hemocyanin levels. The methodology described in Johnsen *et al.* (1984) were used for the blood
100 analysis.

101

102 *Statistical analysis*

103 To evaluate the correlation between air exposure, reflex index, blood lactate results and crab
104 mortality, a rank Spearman correlation was run for each variable. Moreover, two groups of crabs
105 were identified: those which died one week after the air exposure period and those which
106 remained alive. A Mann-Whitney *U*-test was performed to determine differences between the
107 mean air exposure and the mean reflex index of both groups of crabs (dead and alive). The
108 power of the reflex index as an indicator of fish vitality was explored using the assumption that
109 longer air exposure periods would reduce fish vitality. A Spearman rank correlation test
110 comparing air exposure time with mean reflex index score was performed. Based on the
111 previous use of lactate as a stress indicator in crustaceans (Lehtonen and Burnett, 2016; Powell
112 *et al.*, 2017 and references therein), this was used to corroborate the use of reflex index as an
113 indicator of vitality, assuming that higher levels of lactate will correspond to individuals with
114 lower reflex index (low vitality). The crabs were divided in groups using reflex index as a grouping
115 variable: group 1 (reflex index = 0), group 2 (from 1 to 2), group 3 (3 to 4) and group 5 (5 to 6).
116 Then, a Kruskal-Wallis test was used in seek of differences between mean lactate values
117 obtained for each group of crabs. Possible differences between total blood protein and total
118 hemocyanin levels in crabs after 0hrs (initial), 12 and 24hrs air exposure were compared using
119 a one way ANOVA (NCSS Software, Inc. USA) with distribution of data compared to normal
120 distribution using Shapiro–Wilk test, and homogeneity checked using Levene’s test.

121

122 **Results**

123 There was no incidence of mortality in snow crab until they were exposed to air for at least 4h
124 with mortality then increasing with increasing exposure ($S = 7.8$, $P = 0.01$; values for instant
125 mortality) and peak mortality values occurred after the maximum 24h of air exposure (Fig.1). A
126 total of 14 crabs died during the exposure period giving a ‘post treatment’ mortality of 6.7%.
127 After 48h of immersion (post treatment) there was a total mortality of 11% and after one week
128 of immersion (post treatment) the total mortality was 15% (Fig.1). Crabs which died after one

129 week were subjected to significantly ($W = 771.5$; $P < 0.001$) longer periods of air exposure (17.4
130 ± 5.8 h) than crabs which remained alive (10.8 ± 7.7 h).

131 The crabs that died after a week of re-immersion, showed significantly ($W = 2144$; $P = 0.003$)
132 lower mean values for the reflex index (2.3 ± 1.2) immediately after being exposed to air than
133 crabs which remained alive (3.5 ± 1.6). However, despite the observed positive trend the
134 correlation between mean index values and mortality was not significant ($S = 90.58$, $P = 0.14$).
135 Individuals that retained more than 4 reflexes did not have any mortality post treatment.

136

137 In terms of individuals reflexes, after 48h recovery from the air exposure stress, the alignment,
138 retraction, claw and ventral flap reflexes showed relatively low values (<20%) with values
139 peaking (up to 50%) for crabs which were exposed to air for 24h (Fig.2). In contrast, mortality
140 rates increased for the 'mouth' and the 'eye' reflex impairment after 8h of air exposure, and
141 reached 100% after 16h of air exposure in the 'eye' reflex (Fig.2). Although all the reflexes
142 showed an increasing mortality trend as air exposure increased, the ventral flap reflex was the
143 only which showed a steady increase in mortality over time ($r^2=0.88$, p-value < 0.05; Fig.2). In
144 terms of the incidence for individual reflexes, a sudden increase for retraction and alignment of
145 legs was observed in crabs right after 4h air exposure with values of 90 – 100% attained after
146 24h (Fig.2). A similar trend was shown by the claw reflex but the incidence curve over time was
147 smoother. Overall, the mortality values for 'eye' and especially for 'mouth' reflex were much
148 lower compared to the other reflexes, which showed high mortality values from the early stages
149 of air exposure (i.e. retraction, alignment and claw). The ventral flap reflex showed the best
150 correlation between incidence and mortality ($r^2=0.62$, $P = 0.13$) compared to all other reflexes
151 (range=0.37-0.56) although none of the correlations was significant ($P = 0.13$; Fig.2).

152 The reflex impairment was a gradual process with limb retraction being the first reflex to
153 disappear followed by limb alignment, claws movement, ventral flap reaction, mouth retraction
154 and eye movement in this order (Fig. 3). Overall, limb retraction was shown by 78.6% of the

155 individuals throughout the trial with an associated mortality of 8.5%. However, eye reflex
156 impairment was shown by the lowest proportion of individuals (10.5%) but mortality peaked at
157 63.6%. The other reflexes had intermediate values and are shown in Table 1.

158 Lactate values (mean values \pm SD in mmol/L) were measured in the haemolymph of crabs
159 subjected to 0h (0.1 undetectable), 12h (3.5 ± 0.9) and 24h (8.9 ± 2.9) of air exposure. Air
160 exposure resulted in a strong correlation with the mean reflex index ($S = 2504000$; $P < 0.001$;
161 Fig.4) when the latter was used as a proxy for crab vitality (low reflex index = low vitality). The
162 latter was also consistent with the high correlation ($S = 222030$, $P < 0.001$) between mean reflex
163 index and lactate (Fig.5). Differences in lactate values between groups of crabs based on reflex
164 index as a grouping variable were found ($K = 62.272$, $df = 3$, $P < 0.001$). The highest mean values
165 of lactate were shown by crabs with a reflex index of 0 whereas the lowest lactate values were
166 shown by the crabs which showed mean index values between 5 and 6 (strong vitality score).
167 Crabs with reflex index values between 1 and 4 did show intermediate lactate values and no
168 differences were detected between them (Fig.5).

169 There were no significant differences in total blood protein (ANOVA: $F_{2, 58} = 0.31$, $P = 0.73$) or
170 total hemocyanin levels (ANOVA: $F_{2, 58} = 0.54$, $P = 0.58$) between crabs exposed to exposed to air
171 for 0h (initial), 12h and 24h.

172

173 **Discussion**

174 The current experiment tests whether reflex impairment can be used to assess the welfare of a
175 given crustacean throughout the live holding and transport process. This would be considerably
176 simpler, cheaper and a more industry friendly method compared to more traditional analyses
177 of blood biochemistry. The strong correlation between lactate (as a proxy for stress indicator)
178 and the Reflex Index Score (RIS) in the present study demonstrates for the first time that using
179 a RIS is suitable to assess the vitality of captive snow crab. It provides not only information on
180 expected mortality but gives a comparable 'vitality index' based on the RIS that can be used to

181 measure the 'health and welfare' of the animals at any stage of the process. In the current study
182 longer air exposure periods resulted in higher mortality rates and less vital individuals. The study
183 also shows the relationship between air exposure, mortality and each of the 'reflex indices' (6
184 in total) and how the specific response to the individual 'reflex indices' can be used as stress
185 indicators. The number of 'reflex indices' affected (see Fig. 3) gives an indication of the severity
186 of the stress in addition to the likelihood that mortality will occur.

187 The relationship between reflex impairment and delayed mortality due to air exposure has been
188 previously studied in a number of crustaceans, although it has been limited to fisheries purposes
189 (Davis, 2007; Davis and Ottmar, 2006; Stoner, 2012, Van Tاملen, 2005; Stoner *et al.*, 2008;
190 Chilton *et al.*, 2011; Hammond *et al.*, 2013; Rose *et al.*, 2013). The current study shows that
191 delayed mortality as a result of stress inducing treatments (in this case prolonged air exposure)
192 can increase by two-fold after 48h (post stress and re-immersed in seawater) and nearly three-
193 fold after one week (post stress and re-immersed in seawater). After 4h of constant air exposure
194 mortality occurred in crabs after one week of re-immersion. In this regard, Stoner (2009) found
195 delayed mortality rates around 85% in snow crabs one week after being exposed to air freezing
196 conditions. Paul *et al.*, (1994), showed that the stress of 90 days of starvation caused 100%
197 mortality in tanner crabs 140 days after they were offered unlimited feed, meaning that the
198 resilience point was surpassed at some point within the first 90 days of starvation. The latter
199 shows that even if the crabs can tolerate and survive a given stress, the physiological damage
200 might be irreversible and, eventually it may cause death. This is very important for live storage
201 since the fact that the crabs arrive alive at a live holding facility does not guarantee that they
202 will remain alive while being stored, or during the subsequent transport to the final buyer.

203 The reflex impairment index is obviously a useful predictor for crab vitality. Crustacean condition
204 or 'vitality' has traditionally been measured using analysis of haemolymph chemistry (e.g.,
205 lactate, glucose, glycogen levels, blood protein and hemocyanin) (Crear and Forteach, 2001;
206 Engel *et al.*, 1993; Harris and Andrews, 2005; Ridgway *et al.*, 2006). In the current study there

207 were no significant differences between total blood protein and total blood hemocyanin levels
208 in crabs not exposed to air (0hrs, initial sample) or those exposed to air for 12hrs and 24hrs. This
209 indicates that for air exposure stress over 24hrs these techniques are not good indicators of
210 stress. Based on the correlation between lactate levels and reflex impairment shown in this
211 study, the authors believe that a reflex impairment index using RIS can be used as a reliable
212 vitality indicator for snow crabs throughout the live holding value chain.

213 In terms of specific reflexes, the crabs showed a marked impairment and incidence pattern
214 which correlated to air exposure time. The loss of reflexes seemed to be related in pairs so the
215 incidence of eye/mouth retraction impairment appeared in extreme stress situation compared
216 to the claws/ventral flap (medium stress situation) and limb's retraction/alignment reflexes in
217 the least stressful situation. The authors suggest that the reflex indices 'retraction' and
218 'alignment of limbs', the 'retraction of chela' and 'ventral flap', followed by 'mouth' and 'eye'
219 movement, in this order, could be considered as gradually decreasing vitality indicators. The
220 same trend of reflex impairment over time was described (in relation to mortality) for a similar
221 species, the tanner crab (*Chionoectes bairdi*) (Yochum *et al.*, 2015). Raby *et al.*, (2012) also
222 showed an equivalent pattern of fish reflex impairment over time with eye-related movement
223 as the last reflex to be impaired. This reflex indicator in fish is now used and recommended by
224 Noble *et al.* (2018) as one of the available tools for assessing fish welfare and the use of reflex
225 impairment index are likely to be useful for a range of stressors, in a wide range of species.
226 However, specific research to calibrate the sort of stress and reflex impairment must be
227 conducted for each species.

228

229 The authors believe it would be possible to further develop a numeric scale, based on reflex
230 index scores, to assess vitality of the different crab species. It is likely that a different set of reflex
231 indicators will be required, or will have different value (impact), for each species. In addition,
232 the reflex action score model for snow crab should be further refined to develop a more detailed

233 measurements describing a wider spectrum of vitality. For instance, breaking down each reflex
234 response into sub responses or reducing the number of analysed reflexes to those that best
235 reflect the vitality of the animal.

236 Overall, more research is suggested in order to implement better management strategies on
237 snow crab industry throughout the value chain. Although further specific research is required
238 the authors believe the technique outlined in this study will be a useful starting point for
239 developing such a system based on reflex indicators for snow crab (*C. opilio*).

240

241 **Acknowledgements**

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243 Guhnild Johansson and Jaya Kumari for assistance analysing blood chemistry results.

244

245 **References**

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338

339 **Figures and Table captions**

340

341 **Figure 1.** Bar plot depicting the relationship between the air exposure period and mortality of
342 snow crabs (*C. opilio*) after exposure to air. *Light grey*: 'Post treatment' mortality; *Dark grey*:
343 mortality after 48h immersion post treatment; *Black*: mortality after one week immersion post
344 treatment (n per time exposure = 30 individuals).

345

346 **Figure 2.** Bar plot depicting the incidence of non-responsive crabs (black dots) and the relative
347 mortality (grey bars) for each of the inspected reflexes in the 24h air exposure treatment.

348

349 **Figure 3.** Pie charts depicting the contribution (the proportion of each reflex) to each of the
350 reflex impairment index scores (shown in the centre of each pie chart); n = number of individuals
351 showing a given reflex index after the air exposure trial.

352

353 **Figure 4.** Scatter plot (\pm SE) showing the mean reflex index of snow crabs (*Chionoecetes opilio*),
354 as an indicator of vitality, versus the time exposed to air as a stressor.

355

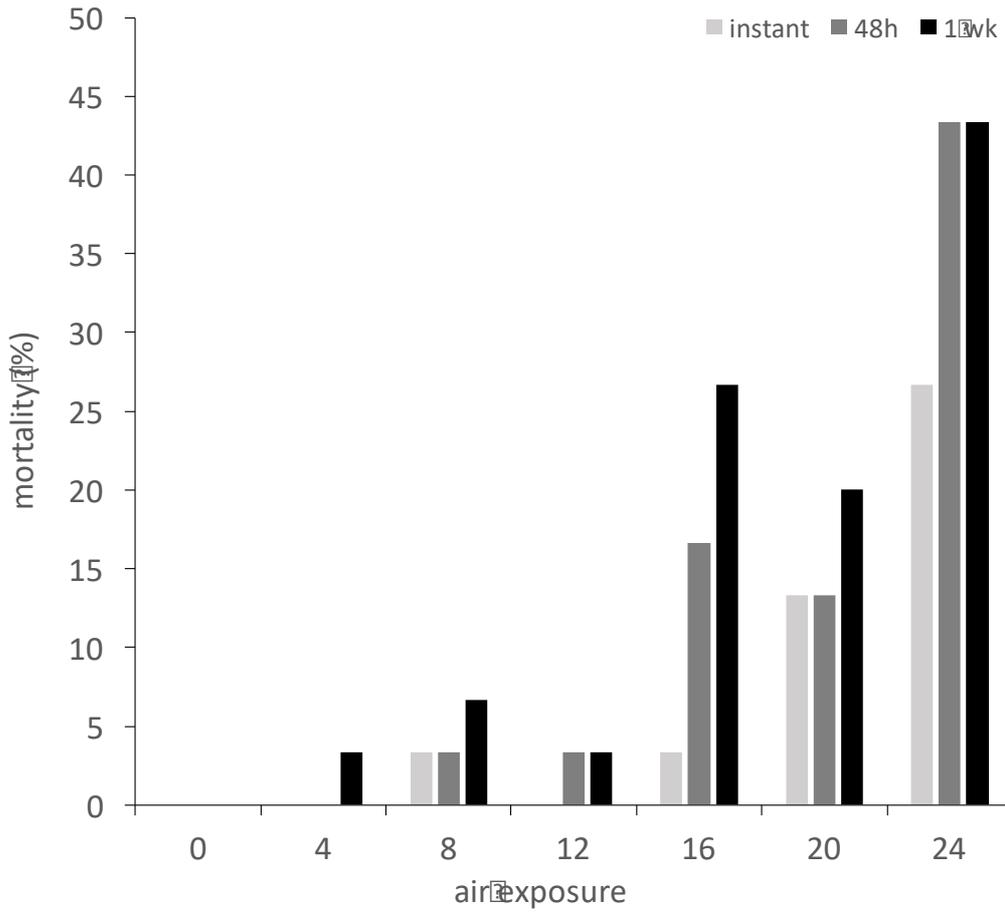
356 **Figure 5.** Lactate values measured on snow crabs (*Chionoecetes opilio*) after being exposed to
357 air as a function of the individual reflex index.

358

359 **Figure 6.** Total blood protein and Hemocyanin levels in crabs prior to the experiment (0h) and
360 exposed to 12h and 24h air exposure.

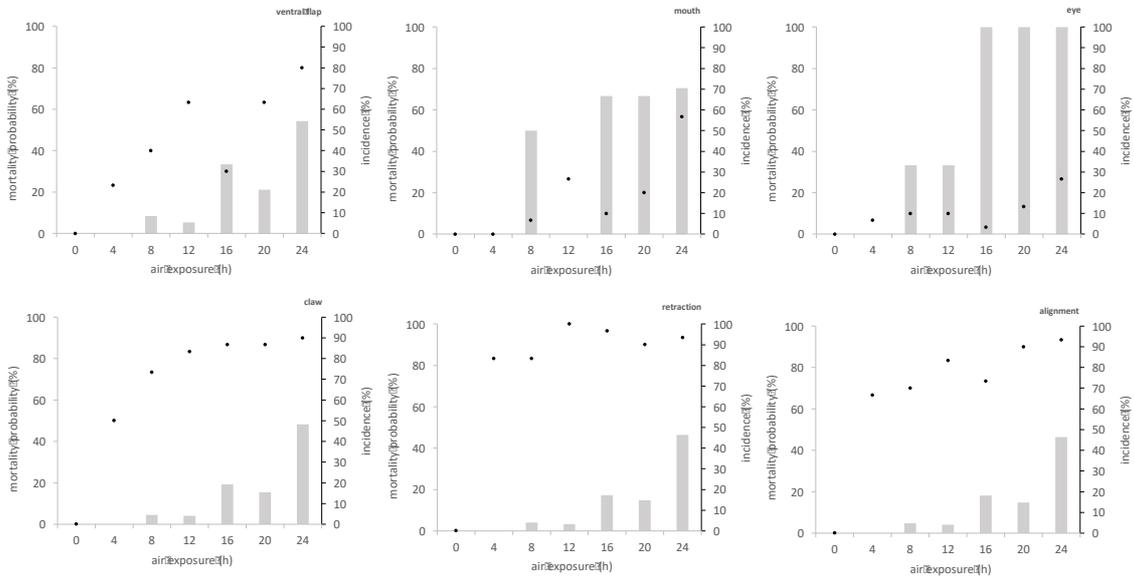
361

362 **Table 1.** Proportion of individuals showing reflex impairment together with the relative
363 probability of instant mortality when a reflex is impaired.



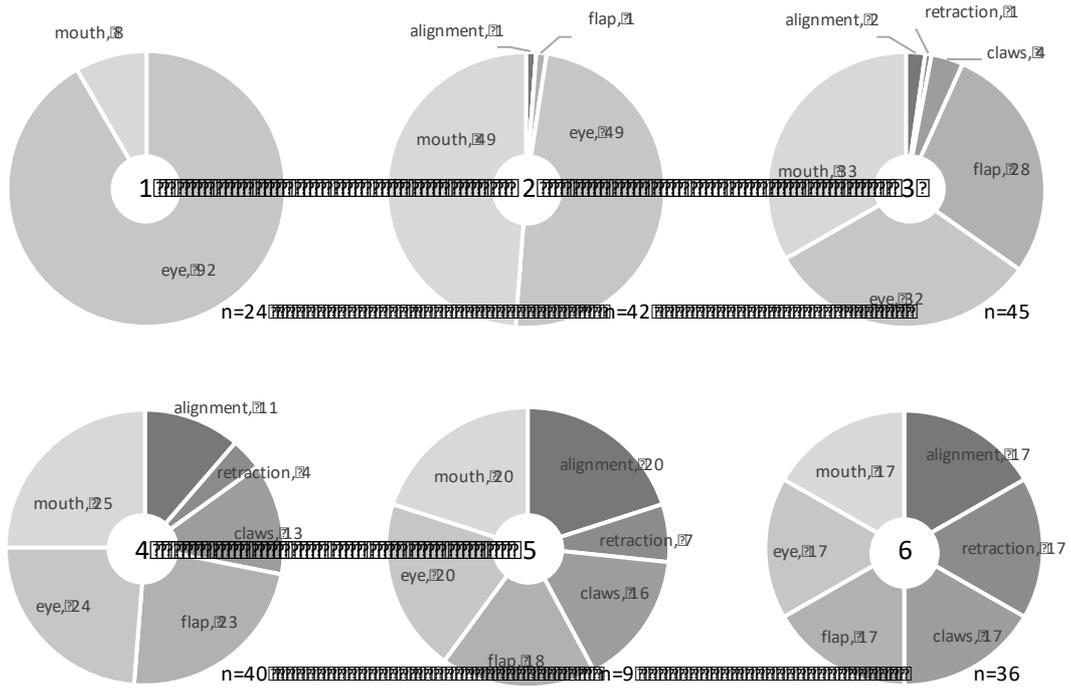
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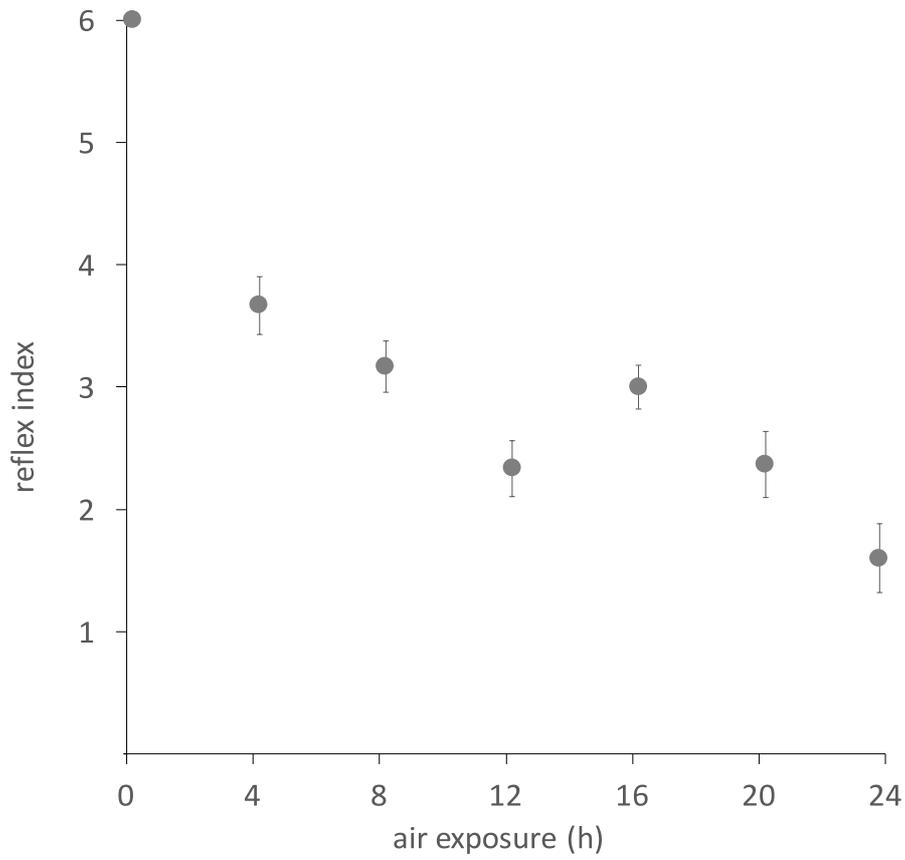


366

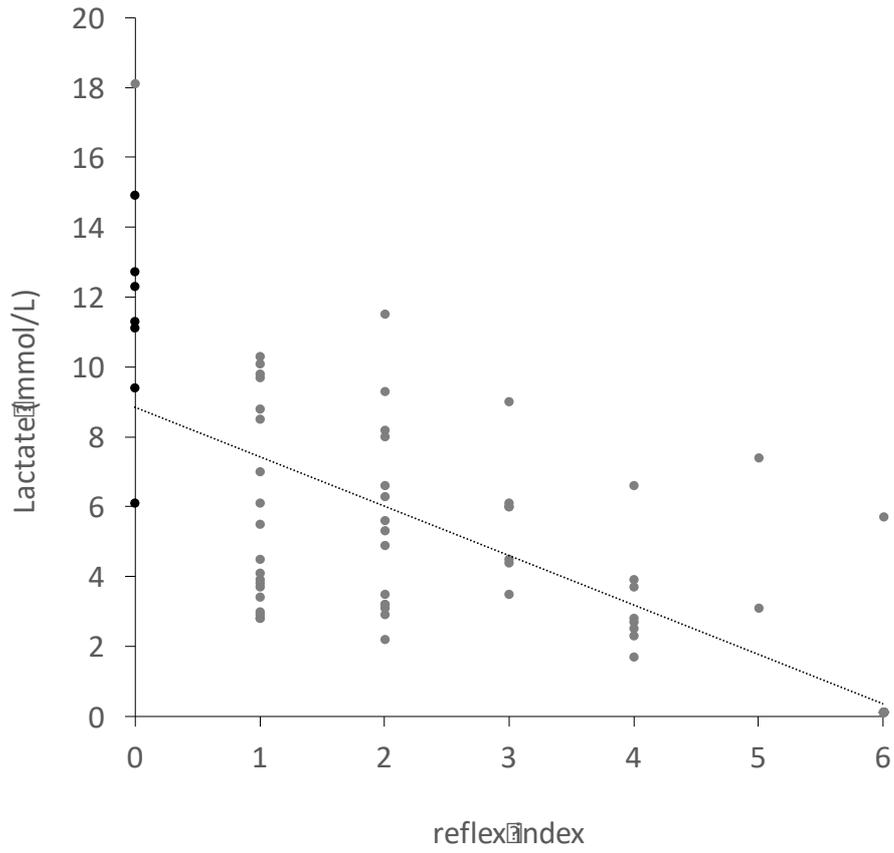
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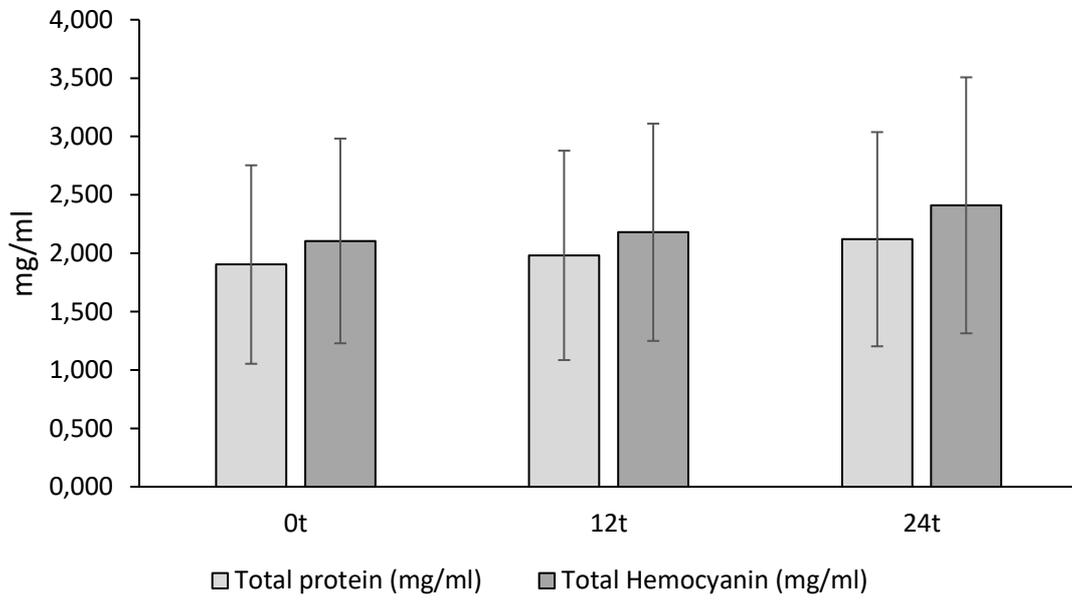
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