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- Determining the optimal shaking rate of a
 reciprocal agitation sterilization system for liquid
- foods: A computational approach with
- experimental validation

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ABSTRACT

A new canning process where a reciprocating agitation is carried out in horizontally oriented containers has been recently demonstrated to reduce processing times and enable energy savings with less degradation in the quality of processed food products. Reciprocal agitation by imposing additional forces enhances convective mixing with increased production efficiency. The reciprocal agitation uses the horizontal acceleration in addition to gravity and sum of these forces lead to a considerable increase in the heat transfer rates. In the literature, there have been experimental approaches to evaluate heat transfer enhancement. However, due to the balance among these forces, there might be an optimum reciprocal agitation rate for the increased heat transfer depending upon the physical properties of the liquid processed. Therefore, the objectives of this study were to determine the optimum agitation rates by developing a computational model for heat transfer. For this purpose, a multi-phase model simulation was performed using a finite volume method based on discretization of governing flow equations for liquid and gas phase in a non-inertial reference frame of moving mesh. Experimental studies for model validation were carried out in a reciprocally agitated retort using $98.2 \text{ mm} \times 115 \text{ mm}$ cans containing distilled water with 2% headspace as a model case. The model results were in agreement with the experimental data, and the optimum reciprocal agitation rate was determined. The results of this study are to be used to optimize the process with respect to improve the health-promoting compounds of processed foods.

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1. Introduction

26Q2 Traditional canning has been a convenient way and pro27 vided a generalist and economic method for processing and
28 preservation of food products. Consumer demands for high

quality foods, however, force the food processors to improve and innovate their processing. It is a well-known fact that the shorter the process time at a given process condition, while still achieving the required safety for consumption, the less the damage to the sensory and nutritive quality of the food products. Based on this concept, following the use

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of retorts for canning, the agitation retorts were introduced 34 in 1920s with the agitation mechanism based on horizontal 35 axial rotation (Ates et al., 2014). Vertical rotation of the cans 36 was later introduced with the end-over-end rotation principle 37 (Clifcorn et al., 1950). Introduction of agitation mechanism 38 in canning for liquid or liquid-solid particles containing food 39 products was the result of a certain disadvantage of the static 40 retort systems (Rosnes et al., 2011). The primary challenge in 41 the static processing is the slow heat penetration resulting 42 in a lack of consistency in sensory and nutritive properties 43 (Ohlsson, 1980). 44

Considering the effective heat transfer rates obtained with 45 agitation, a reciprocating horizontal agitation with rapid back 46 and forth motion of the horizontal containers in an oscillat-47 ing way has been proposed to increase the heat transfer rate 48 49 further, and an agitating retort with high frequency longitudinal mechanism was developed in 2006 (Ates et al., 2014). 50 Both horizontal and end-over-end based agitation retorts suf-51 fer from the limitation of that the applied forces to enable the 52 motion within the container were a balance between grav-53 ity and centrifugal forces (Walden and Emanuel, 2010). Due 54 to this balance, the agitation increases the heat transfer rate 55 up to an optimum while further agitation might not affect or 56 might influence the process in a negative way depending espe-57 cially upon the viscosity of food product. A detailed analysis 58 and comparison among the gravity and centrifugal forces for 59 the case of axial rotation effects in horizontal axial rotation of cans were reported by Erdogdu and Tutar (2012) and Tutar 62 and Erdogdu (2012). The reciprocal agitation, however, used 63 the horizontal acceleration in addition to gravity, and the sum of these forces enabled a considerable increase in the heat 64 transfer rates with reductions in the process time (Walden and 65 Emanuel, 2010). 66

The first studies in the food engineering literature using 67 the reciprocating agitation systems were experimental based 68 to demonstrate the possible process time reductions and 69 improvements in the heat transfer rates. Bermudez-Aguirre 70 et al. (2013a) demonstrated the improvement in heat transfer 71 coefficient under static and horizontal gentle-rocking modes. 72 Ates et al. (2014), for example, compared the novel agitating 73 retort and static retort processes for bacterial inactivation, 74 and it was concluded that agitating retort process significantly 75 lowered the required process time. The study by Singh et al. 76 (2015a) focused on evaluating the heat transfer enhancement 77 under reciprocal agitation while Singh et al. (2015b) devel-78 oped an experimental methodology to determine the heat 79 transfer coefficient in canned particulate fluids under recipro-80 cating frequencies up to 3 Hz. Singh and Ramaswamy (2015a) 81 focused on the effect of product related parameters on heat 82 transfer while Singh and Ramaswamy (2015b) determined the 83 effect of the orientation of cans during reciprocating agita-84 tion thermal processing. Singh et al. (2016) introduced the 85 concept of reciprocal agitation process to improve the qual-86 ity of canned green beans during thermal processing. Singh 87 and Ramaswamy (2016) carried out an optimization study for 88 the heat transfer rate and reciprocation intensity for thermal 89 processing of liquid particulate mixtures. These studies were 90 based on experimental approaches while a similar situation 91 was explored by Liffman et al. (1997) and Pesch et al. (2008) in a 92 computational-theoretical way for convection due to horizon-93 tal shaking and heated fluid layers subjected to time-periodic 94 horizontal accelerations, respectively. 95

⁹⁶ Even though there were certain findings reported for the ⁹⁷ effect of reciprocal agitation on the temperature increase and enhanced heat transfer rate (Bermudez-Aguirre et al., 2013a; Ates et al., 2014; Singh and Ramaswamy, 2015a,b, 2016; Singh et al., 2016), development of a computational model (with one exception where the heat transfer coefficient based lumped model without considering the temperature distribution was introduced by Bermudez-Aguirre et al. (2013b) and determining the optimal agitation rates were not focused in detail. For determining the optimal conditions, one exception was reported by Singh and Ramaswamy (2016) where the optimal conditions of reciprocation intensity for liquid particulate mixtures were experimentally determined. The optimization studies based on a computational model are significant since the computational model might also be used also for process development purposes. Therefore, the primary objective of this study was to determine the optimal agitation rate in a reciprocal agitation process using an experimentally validated computational model. The secondary objectives were first to develop a computational numerical model for heat and momentum transfer inside the reciprocally agitated cans to determine the temperature distribution and velocity changes and then experimentally validate the model.

2. Materials and methods

For the given objectives, the study consisted of experimental and computational parts. In the experimental part, a water filled can was processed in boiling water and agitating conditions. In both cases, the horizontally oriented can contained water as a test liquid to represent a low viscosity Newtonian liquid. The time-temperature data obtained at the geometric center in the first experiments were used to develop and validate the computational model, to decide upon the computational parameters with the mesh independency studies. Following the mesh independency study, the computational model was validated with the temperature data obtained under horizontal agitating conditions, and the model was applied to horizontal agitation rates from 20 to 140 rpm to obtain the agitation rate in the direction of the axis of the horizontal can resulting in maximum heat transfer. In the reciprocal agitation systems, the crankshaft, used to derive the horizontal motion, angular velocity is related to engine revolutions per min (rpm).

2.1. Experimental methodology

The first step in this study was to decide upon the computational parameters and test the accuracy of the computational method. For this objective, an experimental study with a canned water sample (98.2 mm imes 115 mm cans filled with distilled water with 2% headspace) was carried out in a Microflow 911 EAT Shaka retort (Steriflow, Roanne, France) in boiling water under stationary conditions. The retort system was heated by direct steam injection, equipped with a preheating tank to process water. This processed water was then circulated through a heat exchanger (only used for cooling) to the retort and spread by a perforated plate to obtain water raining over the cans. The can was fixed in a horizontal position in the boiling water. Type-T thermocouple connected to a data logger E-Val Flez (Ellab, Copenhagen, Denmark) was located at the geometrical center using ring gaskets and locking-receptacles. The experimental set-up was shown in Fig. 1.

The can material was a steel sheet with a thickness of 0.19 mm and thermal conductivity value of 15–16 W/m² K. This enabled the assumption of the negligible conduction effect of

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Fig. 1 – Experimental set-up where the can was fixed in a horizontal position with installed type-T thermocouples.

can wall on the heat transfer, and the medium temperaturewas accepted to be the can surface temperature.

In the second group of the experiments, the same can was used under horizontally agitation conditions where the shaking rate changed from 0 rpm (in the first 26 s of the process) to 80 rpm (at the 35 s of the process). Since the horizontal-accelerated agitation rates were included in this model validation part of the study, the agitation rates were first converted to the tangential velocity values. For this purpose, since a horizontal agitated system used a slider – crank derived mechanism (Fig. 2a – modified from Reader and Hooper, 1982), displacement of the can during the agitation process was defined with the following equation:

$$\mathbf{x}_{p} = \left[\mathbf{r} - \mathbf{r} \cdot (1 - \sin(\omega \cdot \mathbf{t})) + \mathbf{n} \cdot \left(1 - \left(1 - \frac{\cos^{2}(\omega \cdot \mathbf{t})}{n^{2}} \right)^{0.5} \right) \right]$$
(1) (1)

where n = L/r, x is the displacement (m), ω is the shaking rate (rpm), t is the time (s), r is the crank radius of the system (0.075 m), and L is the length of the connectivity rod (0.5 m). Since $(n^2 >> \cos^2(\omega \cdot t))$, this equation was then simplified with:

$$\mathbf{x}_p = [\mathbf{r} \cdot \sin\left(\omega \cdot \mathbf{t}\right)] \tag{2}$$

The comparison of Eqs. (1) and (2) for the change of displacement with respect to the ($\omega \cdot t$) values did not show any significant difference resulting in very same displacement values. Therefore, the second equation with its simplified form



Fig. 2 – (a) A horizontal agitated system with a slider – crank derived mechanism (modified from Reader and Hooper, 1982); (b) reciprocal agitation rate used in the second set of model validation experiments; (c) tangential velocity change in the transition perid from 0 ro 80 rpm reciprocal agitation rate.

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was chosen to derive the tangential velocity equation for thereciprocal agitation system:

$$v = r \cdot \omega \cdot \cos (\omega \cdot t)$$
(3)

However, this equation brought the issue of non-zero velocity at the beginning of the horizontal agitation process. This
resulted in the instabilities and non-convergence problems in
the numerical computations. To prevent this and to make sure
that the process start with a '0' velocity initially, the movement
was displaced with one period, and the following velocity
equation was preferred to start with:

$$v = r \cdot \omega \cdot \sin (\omega \cdot t)$$

$$\lim_{t \to 0} v = 0$$
(4)

As explained in the experimental set-up for model vali-193 dation, following the initial steady 26 s, reciprocal agitation 194 transition of the system was from 0 to a higher agitation rate 195 of 80 rpm [0.623 m/s]. This transition period took 9 s and was 196 assumed to follow an exponential increase. The exponential 197 increase from 0 velocity to 80 rpm reciprocal agitation rate was 198 preferred to enable the smooth transition between these rates. 199 The exponential increase was the only way for this smooth 200 transition and to prevent the overshoot after 9s of the tran-201 sition period. Among various trials, the linear increase for 202 example resulted in a sharp transition to the 80 rpm, which 203 might be rather difficult to control physically. To conform 204 the transition period with 80 rpm of reciprocal agitation rate 205 after 9s smoothly, the given values below for the following 206 transition stage equation, where the velocity change in the 207 transition period was shown, enabled this:

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$$v = \frac{80[rpm]}{60[s/min]} \cdot [1 - \exp(-k \cdot t')]$$

where (k=1) is the constant (s^{-1}) and t' = (t-26) (s). Fig. 2b 210 shows the horizontal agitation rate through the experiments 211 while Fig. 2c demonstrate the velocity profile from 0 to 80 rpm 212 in the transition period of 9s (from 26 to 35s). The change in 213 the horizontal agitation rate, as reported in Fig. 2b, c, and the 214 variable - experimentally recorded medium temperature were 215 used in the model validation case to compare the numerical 216 results with the experimental one obtained at the centre of 217 the can. 218

For both cases, 3-experiments were carried out, the average values with the standard deviation were used in the model
validation. Since the standard deviations of the average of
the temperature change based on these three experiments,
additional experiments were avoided.

224 2.2. Governing equations and the computational225 model

The numerical methodology and full scale model experi-226 mental testing verifications proposed a useful computational 227 algorithm for dynamic monitoring of headspace (air) and liq-228 uid (water) interactions through the agitation and solved the 229 fluid-thermal energy interactions in order to optimize the 230 reciprocal agitation process. The two-phase volume of fluid 231 (VOF) approach accompanied with the finite volume method 232 (FVM) based numerical discretization scheme was utilized in 233 234 the simulation of two-phase flow under varying physical con-235 ditions through unsteady, three-dimensional and turbulent flow simulations for the given Rayleigh number range over 1E9 at the initial phase of the heating as explained below.

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The basic mathematical model for the discretization process included the solution of fundamental governing equations of fluid flow motion, known as continuity equation and momentum conservation equations, i.e., Navier-Stokes (N-S) equations for incompressible fluid in a non-inertial frame:

$$\frac{\partial \rho}{\partial t} + \nabla \rho \vec{v}_r = 0 \tag{6}$$

2.4. Momentum equation

$$\frac{\partial}{\partial t}(\rho \vec{v}_r) + \nabla (\rho \vec{v}_r \vec{v}_r) = -\nabla P + \nabla \bar{\vec{\tau}}_r + \vec{F}$$
(7) 2

where ρ was the density (kg m⁻³), t was the time (s), \vec{v}_r was the relative velocity vector of a fluid particle (m s⁻¹), P was the static pressure (Pa), $\bar{\tilde{\tau}}_r$ was the stress tensor (described below), \vec{F} was the external body force (N) including gravitational effects and acceleration due to the non-inertial frame motion. The stress tensor, $\bar{\tilde{\tau}}_r$ was:

$$\bar{\bar{\tau}} = \mu [(\nabla \bar{\upsilon}_r + \nabla \bar{\upsilon}_r^{\mathrm{T}}) - \frac{2}{3} \nabla \bar{\upsilon}_r I]$$
(8) 253

where μ was the dynamic viscosity (Pa s). It was defined to be a temperature dependent polynomial function. I was the unit tensor, and the second term on the right hand side was the effect of volume dilation. The volume dilation was neglected in the solutions since there was no effect in the process. Energy conservation equation, also solved for the present flow, was written in terms of relative internal energy (E_r) and relative total enthalpy (H_r):

2.5. Energy equation

$$\frac{\partial}{\partial t}\rho E_r + \nabla(\rho \vec{v}_r H_r) = \nabla(k\nabla T + \bar{\vec{\tau}}_r) + S_h$$
⁽⁹⁾

where

(5)

$$E_r = h - \frac{P}{\rho} + \frac{1}{2}(v_r^2 - u_r^2)$$
(10) 2

$$H_r = E_r + \frac{P}{\rho} \tag{11}$$

Velocity evolutions were then transformed from stationary to rotating frame using:

 $\vec{v}_r = \vec{v} - \vec{u}_r \tag{12}$

where \vec{v}_r was the relative velocity (m s⁻¹) arising from the 270 mesh motion (velocity viewed from the moving mesh of the 271 oscillatory reciprocating motion), \vec{v} was the absolute veloc-272 ity $(m s^{-1})$ (velocity viewed from the stationary frame), and 273 \vec{u}_r was the longitudinal velocity (m s⁻¹) (velocity due to the 274 moving mesh). The above governing equations were directly 275 discretized with a finite volume method (FVM) in conjunction 276 with an interface tracking model (as described below) for the 277 air-liquid system. Reynolds-averaged Navier-Stokes (RANS) 278 based form of these equations were discretized together with 279 the transport equations of turbulence kinetic energy and its 280 dissipation within the finite volume scheme by using the RANS 281

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based k-ε turbulence closure model (Yakhot and Orszag, 1986)
accompanied with the utilized interface tracking model at
higher agitation rates.

Julien et al. (1996) reported the 'soft' and 'hard' turbulence 285 conditions led by the higher Rayleigh numbers, and the lower 286 ranges where the Rayleigh number (Ra) was smaller than 1E7, 287 was characterized by soft turbulence conditions by Kooij et al. 288 (2015). The initial Rayleigh number for the first experimen-289 tal case was 2.66E9 (this value was obtained at the 0.5s of 290 the model validation simulation where there was no move-291 292 ment of the can) where it was beyond the soft turbulence case. Even though the Rayleigh number, as a product of Grashof 293 and Prandtl number, determined the turbulence inducement 294 in the natural convection flow in the cylindrical cavity, local 295 cell Reynolds (Re_L) number change was also controlled. It was 296 up to 30 along the surface of the can at the initial phase of the 297 stationary model validation case (0.5 s) while it increased up to 298 100 towards the end. The turbulence Reynolds number (Re_T) 299 was, on the other hand, high enough to resolve the present 300 flow with a turbulence model, with its instantaneous local 301 value up to 18,400 initially at the centre of the horizontal cylin-302 drical cavity. The Rayleigh and local Reynolds and turbulence 303 Reynolds number were determined using Eq. (13): 304

$$Ra = \frac{g \cdot \beta \cdot \Delta T \cdot D^{3}}{(\mu/\rho)^{2}} \cdot Pr$$

$$Re_{L} = \frac{Vc^{1/3} \cdot v \cdot \rho}{\mu}$$

$$Re_{T} = \frac{k^{2} \cdot \rho}{\mu \cdot \varepsilon}$$
(13)

where g was the gravitational acceleration (m/s²), β was 306 thermal expansion coefficient for water (1/K), ΔT was the max-307 imum temperature difference between the heating medium 308 309 and the initial temperature of the system (K), D was the characteristic dimension (diameter of the cylindrical cavity, m), Pr 310 was Prandtl number, and μ was the dynamic viscosity (Pas), 311 ρ was the density (kg/m³), ν was the velocity encountered 312 in a given cell (m/s), and $V_c^{1/3}$ was the characteristic length 313 of the local cell, and $k\varepsilon$ were turbulence kinetic energy and 314 dissipation rate, respectively. Besides high Rayleigh number 315 encountered at the initial phase of the process, the laminar 316 flow condition was still tested for convergence during the ini-317 tial test simulations, but these trials resulted in convergence 318 problems. Therefore, based on the Rayleigh number informa-319 tion for turbulence conditions and considering the results of 320 the initial simulations for model validation purposes, the tur-321 bulence model was activated in the simulations. In addition, 322 for the simulation study carried out under steady conditions 323 for model validation - mesh independency study, the turbu-324 lence Reynolds number was around 80 toward the end of the 325 simulation. 326

For the turbulence model, the following turbulence parameters were applied:

Initial turbulence intensity (I) was assumed to be 5%,
 Based on the maximum tangential velocity value of 1.1 m/s
 (obtained by Eq. (4)), the turbulence kinetic energy value (k)
 was 0.00453 m²/s²:

$$k = \frac{3}{2} \cdot (v_{\max} \cdot I)^2 = 0.00453$$
 (14)

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- Turbulence dissipation rate (ε) was 0.025 m²/a:

$$\varepsilon = C_{\mu}^{3/4} \cdot \frac{k^{3/2}}{L} = 0.025$$
 (15)

where L was the turbulent length scale (0.002 m), and C_{μ} was turbulence model constant (0.09).

The tracking of interface between air-water phases was accomplished through the volume of fluid (VOF) method proposed by Hirt and Nichols (1981). In this model, a single set of momentum equations was shared by the fluids and the volume fractions of each of the fluids in each computational cell were tracked through the domain. The fields for all variables and properties are shared by phases and represents volumeaveraged values as long as the volume fraction of each of the phases is known at each location. Thus, the variables and properties in any given cell are either purely representative of one of the phases or representative of mixture of phases depending on the volume fraction values. The volume fractions of water and air in the computational cell sum to unity. Interface tracking was carried out by solving continuity equation for volume fraction of one of the phases where air was specified as primary phase and thus the volume fraction of the liquid phase was solved. In addition to VOF method, Ubbink's compressive interface capturing scheme (Ubbink and Issaa, 1999) for arbitrary meshes (CICSAM) was also applied.

For the numerical solution procedure, a finite volume method (FVM) based solver (Ansys Fluent V15, Ansys, Inc., Canonsburg, PA, USA) was used to solve the preceding partial differential governing equations of the present two-phase flow problem. In the proposed computational model, the collocated FVM was employed to discretize the governing 3D flow-energy equations. All the required thermal and physical properties for air and water phases were temperature dependent and reported in Erdogdu and Tutar (2012). Initially, water in the can in both experimental condition was at rest and had the initial temperature of 300.92 and 301.38K in the steady and agitation cases, respectively. While the boiling water temperature and variable medium temperatures were used in the model validation simulation, a uniform constant wall temperature of $(T_w = 373.15 \text{ K})$ was used to determine the effect of reciprocal agitation rates on the temperature evolution. For the case of agitation process, the heating medium temperature was variable, but it was still used to be as a constant wall temperature over the can surface due to the rapid movement of the can during the process. Over the initial period of the agitation process where there was no reciprocal movement of the can (Fig. 2c), the heating medium temperature and the initial temperature of the can were similar. Therefore, the given assumption was assumed to hold true during the initial period. The surface tension value along the interface of air and water was assigned to be 0.72 N/m, and the time step size used in all simulations was 1E-4s.

3. Results and discussion

3.1. Model validation

Using the results of the first experimental data set, the simulation schemes were decided:

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 (14) - a pressure based solver with the absolute velocity formulation,

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Fig. 3 – Initial phase contours of the geometry with air (at the top) and water phases (a) and the mesh structures adopted for (b) the minimum – 199,728 and (c) the maximum – 337,800 sized meshes.

the pressure-velocity coupling was carried out with PISO
 (pressure implicit with splitting of operator) scheme with
 skewness-neighbour coupling,

³⁹² - transient formulation was first order implicit, and

spatial discretization for gradient was Green-Gauss node 393 based; for pressure PRESTO; for momentum first order 394 upwind; for volume fraction CICSAM; and for turbulence 395 kinetic energy first order upwind schemes were used. Even 396 though the first order upwind scheme is too dissipative 397 to stabilize the computation, the initial simulation stud-398 ies confirmed that the given solution schemers suit better 300 for straight convergence and stabilized computation for 400 the chosen time step size and mesh resolution. Besides, 401 regarding the order of the discretization scheme, the sys-402 tem uncertainty as well as the turbulence model uncertainty 403 might be larger than the error caused by numerical dissipation.

Using these schemes, the computational model was first 406 applied to study mesh independency and hence to determine 407 the final mesh configuration based on the first set of experi-408 mental results. Then, the model validation study was carried 409 out under a reciprocal agitation condition, and the reciprocally 410 agitating speeds from 20 to 140 rpm were then tested for tem-411 perature change during the agitation to determine the effect 412 of agitation and optimum agitation rate. 413

Fig. 3 shows the initial phase contours of the geometry with 414 head space - air (at the top) and water phases and the mesh 415 structures adopted for the minimum (199,728 cells) and max-416 imum (337,800 cells) numbered mesh configurations. Fig. 4a 417 shows the results of mesh independency study with respect 418 to experimental data obtained at the centre of the horizontal 419 can located in boiling water. There was not a significant differ-420 ence between the 199,728 and 286,080 cells while the 337,800 421 cell structured mesh over-predicted the temperature (Fig. 4a). 422 This difference might be due to the context of mesh-density 423 424 - round-off error relation. Though round-off errors may be 425 accumulated more with higher number of mesh cells, further

round-off analysis might be required to identify their effect on the mesh structure and resolution for different time-step sizes. However, it should be emphasized that the flow system uncertainty as well as the turbulence model uncertainty for





Fig. 4 – Comparison of experimental data with the simulation results (a) experimental data obtained at the centre of a horizontally placed static can in boiling water with; (b) experimental data obtained at the centre of a horizontally placed static can under reciprocally agitating conditions (the mesh structure used in both computational models had 199,728 cells).

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Fig. 5 - Comparison of tangential velocity change versus reciprocal agitation rates.

the choice of mesh resolution and time step size accompa-430 nied could be significant on the flow results in addition to 431 the order of the spatial discretization scheme and relaxation 432 parameters. There could/may be no straightforward solution 433 for minimum numerical diffusion and higher accuracy with 434 use of very high mesh resolution accompanied with smaller 435 time step size and higher order spatial discretization scheme. 436 437 The mesh independency simulations and the initial simulations have demonstrate that the selected time step size of 438 1E-4s for the generated mesh resolution of 199,728 mesh cells 439 were accurate enough to obtain results which would be in 440 good correspondence with the experimental data. Therefore, 441 based on the mesh independency results, the mesh structure 442 with 199,728 cells was used in the second part of the model 443



Fig. 6 - Effect of reciprocal agitation rate on the volume average increase of temperature.



computational geometry at the (a) beginning (1 s); (b) 30 s; and (c) 90 s of the 20 rpm reciprocal agitation case.

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Fig. 8 – Phase contours in the various x- and z-planes of the computational geometry at the (a) beginning (1 s); (b) 30 s; and (c) 90 s of the 80 rpm reciprocal agitation case.

validation and simulations to determine the effect of recipro-cal agitation rate.

Following this, the model was validated compared to the experimental data obtained under different reciprocal agitation conditions (summarized in Fig. 2). Fig. 4b shows the comparison of the can centre temperature data with respect to



Fig. 9 – Temperature (K) contours in the central x- and z-plane of the computational geometry at the (a) beginning (1 s); (b) 30 s; and (c) 90 s of the 20 rpm reciprocal agitation case.

the model results for the first 75 s of the process. As observed in this figure, the model results demonstrated the validity of the developed computational model. Even though the simulation results compared well with the experimental data, there was a difference between the simulation results and experimental data. However, considering the complex nature

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Fig. 10 – Temperature (K) contours with in the central xand z-plane of the computational geometry at the (a) beginning (1 s); (b) 30 s; and (c) 90 s of the 80 rpm reciprocal agitation case.

of the process and experimental conditions, the model predictions caught the trend of the experimental temperature
data. After 75 s of the processing, the model validation case
study did not continue to run due to very high requirement of

computational time. It took 39.3 h to complete a 1s of the simulation for validation purpose under 80 rpm reciprocal agitation in an Intel Zeon 4-Core, 3.7 GHz – 32 GB RAM system.

3.2. Effect of reciprocal agitation rate

After validating the model, effect of the reciprocal agitation rates from 20 to 140 rpm on the temperature evolution in the cans were tested. Fig. 5 shows the comparison of tangential velocity values for 20, 80 and 140 rpm reciprocal agitation rates versus time while Fig. 6 shows the effect of reciprocal agitation rates on the volume average temperature (Tavg) increase of the can for the first 100s of the process. In these simulations, the boundary temperature was set to be boiling conditions as reported above in the first model validation case. As demonstrated in Fig. 6, the effect of reciprocal agitation rate was noticeable, and the increased agitation rates increased the temperature evolution especially until 80 rpm reciprocal agitation. The effect of further increasing the reciprocal agitation over 80 rpm did not result in any significant difference. This effect of reciprocal agitation until a maximum agitation value might be explained by the balance



Fig. 11 – Temperature (K) contours in the central x- and z-plane of the computational geometry at the (a) beginning (1 s); (b) 90 s of the 0 rpm (natural convection heating case).

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480 between the agitation, gravitational buoyancy and viscous481 forces governing the reciprocal agitation process.

As indicated by Boonpongmaee and Makotani (2009) and 482 Tutar and Erdogdu (2012), the flow field during an agitation 483 process involves complex interactions of centrifugal - rota-484 tional, gravitational buoyancy and viscous forces. Based on 485 this concept, the following force analysis was performed to 486 better understand the temperature evolution during the recip-487 rocal agitation process as a function of gravitational, agitation 488 and viscous forces: 489

$$\frac{f_{cbf}}{f_{gbf}} = \frac{\omega^2 r}{g}$$
(15)

$$\frac{f_{\text{inertial}}}{f_{\text{viscous}}} = \frac{\omega^2 r^4}{\nu^2}$$
(16)

where r was the crank radius of the system (0.075 m), g was 492 the gravitational acceleration (9.81 m/s²), and ν was kinematic 493 viscosity (m²/s), f_{cbf}, f_{gbf}, f_{inertial} and f_{viscous} were centrifugal 494 buoyancy, gravitational buoyancy, agitation related forces and 495 viscous forces, respectively, and ω was the dimensional speed 496 of rotation (1/s). ω was shown by ($\omega = 2\pi f/60$) where f was the 497 horizontal agitation rate (rpm). Eqs. (15) and (16) represented 498 Froude and Taylor numbers, respectively. 499

The $(\omega^2 r)$ value, in fact, showed the effect of horizontal agitation rate over the gravitational acceleration, and it was defined to be the reciprocation intensity (g_0) :

$$g_0 = \omega^2 \cdot r \cdot \left(1 + \frac{r}{L}\right)$$

where L was the length of the connectivity rod (0.5 m) of the slider crank type system used in the experimental studies. Considering that [(r/L = 0.15) < 1], the reciprocation intensity was approximated by:

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$$g_0 = \omega^2 \cdot r \tag{18}$$

This was used in the definition of Froude number to 509 demonstrate the reciprocation effect over gravitational force. 510 Froude number increased from 0.03 to 1.64 with the increase 511 of the horizontal agitation rate from 20 to 140 rpm. Simulta-512 neously, Taylor number increased from 2.26E8 to 1.18E10, from 513 3.65E9 to 2.29E10 and from 1.13E10 to 6.87E10 at the 100 s of 514 20, 80 and 140 rpm shaking, respectively. The increase ratio 515 of Taylor number was 5.22, 6.27 and 6.08 (these ratios were 516 between the Taylor number values obtained at the 100 and 517 1s of the process) for these 3-reciprocal agitation rates. This 518 change in the Taylor number indicated that the reciprocal 519 agitation forces started showing their impact even at low agi-520 tation rates, but this effect increased to a certain highest value 521 at 80 rpm. The effect of inertial forces obtained by the hori-522 zontal agitation over viscous forces as an internal resistance 523 of the processed liquid was not significant beyond 80 rpm. The 524 further increase after 80 rpm agitation rate did not make any 525 significant effect on the Taylor number increase in the given 526 process, and did not lead to any further temperature increase. 527 In fact, the volume average temperature increase obtained 528 with 80 rpm agitation was over the case of 140 rpm towards 529 the end of the process (Fig. 6) as also indicated by the increase 530



(17)

Fig. 12 – Instantaneous velocity vectors (m/s) on x-z and y-z mid-planes of the flow domain at (a) 1 s (very beginning of the agitation) and (b) 90 s (towards the end of the agitation) at 20 rpm.

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ratio in Taylor number. Froude number was 0.54 at 80 rpm, and
 this value was 50% of the gravitational acceleration effect.

As indicated by Walden and Emanuel (2010), the reciprocal 533 agitation apparently used the horizontal acceleration in addi-534 tion to gravity forces, and the sum of these forces enabled a 535 considerable temperature increase while the further increase 536 after 80 rpm was prevented via the effect of viscous forces. 537 Even though the reciprocation intensity was 1.64 times higher 538 than the regular natural convection case governed by the grav-539 itational acceleration at 140 rpm, the temperature increase did 540 not gain any more benefit from this high rate of agitation. 541 The lower viscosity of the liquid (water) also played a signifi-542 cant role in this concept, and its effect is expected to be more 543 pronounced for the case of non-Newtonian higher viscosity 544 liquids. Trevino (2009) also noted that the oscillating technol-545 546 ogy with racking movement (reciprocally agitation) might not be beneficial for processing low (1% starch-water mixture) and 547 high (5% starch-water mixture) viscosity products where a 548 comparison of the effects of oscillating and static retort ther-549 mal processing was carried out. However, it was also stated 550 that an effective heat transfer rate might be possible to obtain 551 for medium viscosity (3% starch-water mixture) products. 552

Tutar and Erdogdu (2012) explained that, in agitation related processes, headspace – air bubble moves through via the given effect of agitation and viscosity forces. This leads to the mixing to increase the heat transfer rate. For the case of low viscosity Newtonian liquids, however, this mixing effect is hardly seen, and the air bubble generally might move through the top over the process under a certain effect of agitation rate. Figs. 7 and 8 show the phase (Phase2 – headspace) contours (headspace shown with red contour at the beginning of the process) at the beginning (1 s), 30 and 90 s of the 20 and 80 rpm cases in the various x- and z-planes of the computational geometry, respectively. As observed in Fig. 7, headspace moved at the top of the geometry continuously with the given movement of the can at 20 rpm reciprocal agitation rate. However, the 80 rpm agitation led to an abrupt change of the headspace distribution due to the sudden start of the higher agitation. The considerable difference between 20 and 80 rpm agitation rates was also shown in Fig. 5. Fig. 8a shows the sudden disruption of the headspace at the beginning compared to the case of 20 rpm and a certain inhomogeneous distribution through the process (Figs. 8b, c). At the 90s of the process, based on the phase contours, it might be assumed that a low amount of headspace was mixed in the water at 20 rpm agitation rate while this was higher at 80 rpm agitation rate. This mixing also brought a considerable and homogeneous temperature increase compared to the case of 20 rpm.

Figs. 9 and 10 show the temperature distribution through the can for 20 and 80 rpm, respectively. The more homogeneous distribution nature of the temperature contours are observed at 80 rpm agitation rate while the 20 rpm agitation rate resembled more like a natural convection case with the distinctly stratified temperature contours. The natural



Fig. 13 – Instantaneous velocity vectors (m/s) on x-z and y-z mid-planes of the flow domain at (a) 1 s (very beginning of the agitation) and (b) 90 s (towards the end of the agitation) at 80 rpm.

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convection case was summarized in Fig. 11. Figs. 12 and 13, on 585 the other hand, comparatively represented the instantaneous 586 velocity vectors obtained on the xz and yz mid-planes of the 587 flow domain at 1 (very beginning of the agitation motion) 588 and 90s (towards the end of the agitation motion) at 20 and 589 80 rpm, respectively to further compare the agitation effects 590 for the field of flow motion. When the agitation started, the 591 headspace was immediately affected, and it could not main-592 tain its shape and orientation with respect to the water flow 593 domain, which was accelerated like a solid body motion due 594 to the horizontal acceleration as seen in Figs. 12a and 13a. As 595 the agitation motion continued, dynamic pressure developed 596 in the flow domain, and different spatial and temporal evolu-597 tion of the fluid flow, depending upon the simulation time and 598 agitation rate, were clearly identified from the instantaneous 599 600 velocity vectors in the vicinity of the air-water interface whose shape and position became highly unstable with the 601 increasing agitation rate (Figs. 12b and 13b). Superposition 602 of inertial, agitation and natural forces due to gravitational 603 forces (mixing convective forces) became more evident and 604 effective on the air-water flow domain at higher agitation rate 605 of 80 rpm, leading to higher inertial and convective instabili-606 ties of the present two-phase flow system with more complex, 607 three-dimensional flow behaviour in the whole domain sys-608 tem. This behaviour eventually would make a positive effect 609 on the temperature evolution for 80 rpm compared to 20 rpm 610 611 as previously observed in the temperature contours in Fig. 10. 612 With this positive effect, forced convective heat transfer 613 mechanism on the temperature evolution became more dominant as the agitation rate increased up to 80 rpm and did 614 not change at higher agitation rates as also explained above. 615

4. Conclusions

This study introduced determining the optimal reciprocal 616 agitation rate based on the experimentally validated com-617 putational model. The computational model was used to 618 determine the temperature change in a can filled with water 619 with 2% headspace undergoing a reciprocal agitation pro-620 cess, and the temperature evolution during the process was 621 determined to be under control of reciprocation intensity and 622 the ratio of agitation and viscous forces. The computational 623 results indicated a certain limit of reciprocal agitation rate 624 in the view of temperature increase indicating the significant 625 effect of viscosity and inertial forces obtained by the agita-626 tion. For a Newtonian low viscosity liquid case, represented 627 by water, the 80 rpm reciprocal agitation rate was determined 628 to be an optimum rate. 629

It would be valuable to determine the optimum agitation
conditions for high viscosity non-Newtonian liquids considering that a significant portion of the food products processed
in cans lie in this category. Besides, a further study to demonstrate the effect of headspace volume to increase the agitation
rates for liquid and particulate food products would also be
required.

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