1	High lipid microalgae (Schizochytrium sp.) inclusion as a sustainable source of n-3 long-
2	chain PUFA in fish feed – effects on the extrusion process and physical pellet quality
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27 Abstract

28 The aim of this research was to investigate effects on the extrusion process and physical 29 pellet quality of high lipid microalgae (HL-microalgae) inclusion level as a source of n-3 long-30 chain polyunsaturated fatty acids (n-3 LC-PUFA) in fish feed. The experiment was based on a 31 3-component mixture design on commercially relevant feed blends by varying the content of dried HL-microalgae Schizochytrium sp. meal, vital wheat gluten (WG), and soy protein 32 concentrate (SPC). Mixture models with R^2 in the range of 0.881 to 0.998 (P < 0.01) were 33 established for specific mechanical energy (SME), feed melt viscosity and temperature behind 34 35 die, expansion parameters, and pellet hardness and durability. The mixture components 36 affected SME, melt viscosity and viscous heat dissipation with the highest values observed for 37 SPC followed by WG, and lowest for HL-microalgae rich blends. Increase in viscous heat 38 dissipation and feed melt temperature increased the steam flashing rate and consequently pellet 39 expansion and fat adsorption capacity. Lowest pellet hardness and durability were found for 40 blends high in HL-microalgae or SPC level due to incomplete cooking. HL-microalgae addition 41 up to 132 g/kg DM in SPC rich blends gave favourable processing effects with respect to 42 improved plasticisation and physical pellet quality. This study documents that HL-microalgae 43 has a potential to be used as an alternative and sustainable source of n-3 LC-PUFA in 44 aquaculture feeds without compromising physical pellet attributes.

Keywords: fish feed, microalgae, pellet expansion, soy protein concentrate, viscosity, wheatgluten

Abbreviations: CGM, corn gluten meal; FM, fishmeal; HL-microalgae, high lipid microalgae;
n-3 LC-PUFA, n-3 long-chain polyunsaturated fatty acids; SEI, sectional expansion index;
SME, specific mechanical energy; SPC, soy protein concentrate; T_{die}, temperature behind
extruder die; V_{die}, apparent viscosity in the extruder die; WG, vital wheat gluten; WSI, water
holding capacity

52 **1. Introduction**

53 The inclusion level of fishmeal and fish oil in aquaculture feed formulations has 54 significantly decreased the last decade, reflecting that further global growth of the aquaculture 55 industry need to be based on more sustainable sources such as plant and single cell biomass, domestic animal by-products and insects (Tacon and Metian, 2008; Sørensen et al., 2011; 56 57 Tacon et al., 2011). In salmonid diets, fishmeal has partly been replaced by plant proteins (e.g. 58 wheat gluten, soybean and sunflower meal), and fish oil partly by rapeseed oil (Ytrestøyl et al., 59 2015). Recently, dried microalgae such as heterotrophic grown *Schizochytrium* sp. has been 60 introduced as an alternative and sustainable source of n-3 long-chain polyunsaturated fatty 61 acids (n-3 LC-PUFA) in aquaculture feeds. Schizochytrium sp. contains high level of lipids 62 (55-75% of dry matter), with as much as 49% docosahexaenoic acid (DHA) (Ren et al., 2010). 63 The product appears to be a highly performing fish oil alternative in diets for major farmed fish species such as gilthead seabream (Ganuza et al., 2008) and Atlantic salmon (Miller et al., 64 65 2007; Kousoulaki et al., 2015, 2016, 2017).

66 The inclusion of a high lipid-containing Schizochytrium sp. ingredient in the dry feed recipe may introduce new challenges in the feed extrusion process. Extrusion is a 67 68 thermomechanical process where the physical pellet quality and expansion rate is controlled by steam and viscous dissipation of mechanical energy (heat), moisture level, and the 69 70 physicochemical and rheological properties of the feed ingredients (Blanche and Sun, 2004; 71 Sørensen et al., 2009; Glencross et al., 2010; Kraugerud et al., 2011; Samuelsen et al., 2013, 72 2014; Samuelsen and Oterhals, 2016). Lipids act as a lubricant in the extruder barrel and reduce viscous heat dissipation and physical pellet quality. A total lipid level in the dry feed recipe 73 74 below 120 g/kg is known to have negligible negative effect on the extrusion process and feed quality (Rokey, 1994). Consequently, for high-energy salmon feed with a total lipid level of 75

>30%, most of the oil has to be coated onto the expanded and dried feed pellet by use of vacuum
coating technology (Strauch, 2005).

Recently, extruded pellets containing *Schizochytrium* sp. meal (50 g/kg dietary inclusion level) were found to have reduced pellet durability compared to a microalgae free diet (Kousoulaki et al., 2016). This may limit the use of high lipid microalgae (HL-microalgae) as an important source of n-3 LC-PUFA in aquaculture feeds. Due to the low lipid content in plant proteins compared to fish meal (Hill et al., 2010a, 2010b; Table 1) there may be a higher tolerance for high lipid ingredients in commercially relevant plant based feed recipes.

84 The use of bulk transport and pneumatic feed delivery systems demands consistent and high physical pellet quality to minimize product loss (Aarseth, 2004; Aarseth et al., 2006; Aas 85 86 et al., 2011). To establish HL-microalgae as a sustainable source of n-3 LC-PUFA in the fish 87 feed manufacturing process, it is of high importance to develop knowledge on techno-88 functional properties related to the extrusion process and physical pellet quality in plant based 89 feed recipes. The objectives of this study were to quantify (1) the effect of HL-microalgae 90 inclusion level in combination with vital wheat gluten (WG) and soy protein concentrate (SPC) 91 on extruded feed melt viscosity, extrudate expansion and physical pellet quality; (2) in 92 combination with WG and SPC, assess the maximum HL-microalgae inclusion level possible 93 to use without compromising physical pellet quality.

94

95 2. Materials and methods

96 2.1 Materials

97 HL-microalgae, a spray dried heterotrophic grown *Schizochytrium* sp. was obtained
98 from Alltech Inc. (Nicholasville, KY, USA). Corn gluten meal (CGM) and soy protein
99 concentrate (SPC) was purchased from Agro Korn AS (Videbæk, Denmark). Fishmeal (FM)
100 was of LT quality (Schmidtsdorff, 1995) obtained from Norsildmel AS (Bergen, Norway).

101 Vital wheat gluten (WG) was purchased from Tereos Syral (Aals, Belgium). Whole wheat flour
102 (Wheat) was of bakery quality (falling number >200 s) produced by Norgesmøllene AS
103 (Vaksdal, Norway). Proximate chemical composition of the ingredients are given in Table 1.
104 All solvents and reagents for the analyses were of analytical grade. Food grade soybean oil was
105 purchased locally.

106 2.2 Feed mix composition

107 Ten experimental feed mixes were prepared (Table 2). The level of HL-microalgae, 108 WG and SPC was predetermined by the chosen range in the three component mixture design 109 (Table 3). Constant levels of FM, CGM and Wheat were obtained. Prior to extrusion, the feed 110 mixes were standardised to an equal moisture level by addition of water.

111 2.3 Extrusion and drying conditions.

112 The feed mixes, calibrated to 150 kg/h, were conditioned in an atmospheric double differential preconditioner (Wenger Manufacturing Inc., Sabetha, KS) prior to extrusion on a 113 114 TX-52 co-rotating, fully intermeshing twin-screw extruder (Wenger). A standard shaft speed 115 (220 rpm) and addition of steam (13.5 kg/h) and water (9.9 kg/h) in the preconditioner were 116 used for all trials, giving a preconditioner outlet temperature of 86 ± 1.6 °C and a moisture content of 194 ± 6.1 g/kg (wet basis). Extruder water addition (18.0 kg/h), screw speed (400 117 118 rpm) and the temperature in the extruder barrel heads (83, 120, 140, 140, 60, 60 °C, head 2 to 119 7, respectively) were kept constant. The used screw configuration is described in Samuelsen 120 and Oterhals (2016). The extruder outlet was restricted by two circular 7.3 mm dies. The wet 121 extrudates were cut at the die surface with constant knife speed (1000 rpm, 2 knifes). Specific mechanical energy (SME) can be defined as (Akdogan, 1996): 122

123

124
$$SME = \frac{net \ motor \ torque \times screw \ speed}{mass \ flow \ rate}$$
 (1)

126 The SME (Wh/kg wet extrudate) was calculated based on extruder torque (kW)
127 recorded during processing and wet flow rate (kg/h) upstream the extruder die.

The feed mass temperature (T_{die}) and pressure were measured in the product stream behind the die. Sampling of pellets was conducted after achievement of steady state conditions in the preconditioner and extruder. From the sampling period of approximately 5 min a representative sample was collected in a closed bag and immediately dried in a laboratory hot air dryer (Dörrex, A. & J. Stöckli AG, Netstal, Switzerland) at 70 °C to a moisture content of 81 ± 3.2 g/kg.

134 2.4 Chemical analyses

135 Dry matter (DM) was measured gravimetrically after drying at 103 ± 1 °C (ISO 6496). 136 Crude protein (N x 6.25) was analysed by the Kjeldahl method (ISO 5983-2). Fat content was 137 determined based on petroleum ether Soxhlet extraction before and after heating with hydrochloric acid (COMMISSION REGULATION (EC) No 152) and ash was determined by 138 139 combustion of organic matter at 550 °C and gravimetric measurement of the residue remaining 140 (ISO 5984). Total starch and degree of starch gelatinisation were measured utilising a 141 modification of the glucoamylase methodology described by Chiang and Johnson (1977) and 142 Samuelsen and Oterhals (2016). All chemical measurements were based on averages of 143 duplicate analyses.

144 2.5 Analysis of extruded pellet properties

Hardness (peak breaking force) was measured on uncoated standing pellets by use of a
texture analyzer (TA-HDi, Stable Micro Systems Ltd, Surrey, UK) as described in Samuelsen
and Oterhals (2016). Pellets were treated individually and reported values based on the average
of 20 analyses. Durability was measured on uncoated pellets using; 1) A Holmen pellet tester
(Holmen Feed Technology, Berkshire, UK), where a sieved (8 mm screen) 100 g pellet sample
was conveyed around in a closed circuit by a high velocity air stream as described in Samuelsen

151 et al. (2013); 2) A Doris tester (AKVAsmart, Bryne, Norway) where a sieved (8 mm screen) 152 350 g pellet sample was transported in a screw conveyor to a rotating fan. Impact with the fan 153 and the walls downstream the fan generates cracks and dust, which were measured using 154 different screen sizes (Aas et al., 2011). The following Doris parameters were determined: unbroken (8.0 mm), fracture (8.0-5.6 and 5.6-2.60 mm), and dust (<2.60 mm). All durability 155 156 parameters were based on the average of duplicate measurements. Diameter and length were measured with an electronic caliper and based on averages of 20 pellets. Sectional expansion 157 158 index (SEI) was calculated by dividing the square of the pellet diameter by the square of the 159 die diameter. Bulk density was measured by loosely pouring the dry uncoated pellets from a 160 funnel into a 1000 ml measuring cylinder. Reported values were based on the average of three 161 replicates. Oil adsorption capacity (Max fat) was investigated using a lab-scale vacuum coater 162 using food grade soybean oil and as described in Samuelsen and Oterhals (2016). Fat leakage 163 was measured on the samples from the previous test. The sample was transferred into a closed 164 plastic box with blotting paper and the pellet weight recorded. After incubation at 40 °C for 24 165 h in a heating cabinet the sample weight was registered and fat leakage calculated. Reported oil adsorption capacity and fat leakage were based on the average of duplicate measurements. 166 167 Pellet water stability index (WSI) was determined utilising a slightly modified methodology 168 described by Baeverfjord et al. (2006). Triplicate samples of each diet (20 g each) were placed 169 in custom made steel-mesh buckets placed inside 1000 ml glass beakers filled with 500 ml 170 distilled water. The beakers were incubated in a thermostat-controlled water bath (23°C) and 171 shaken (145/min) for 120 minutes, and the remaining amount of DM was determined.

172 2.6 Feed mass apparent viscosity and pellet pasting characteristics

173 The apparent wall shear stress (τ_{app}) and shear rate $(\dot{\gamma}_{app})$ of the melted feed mass in 174 the extruder die was calculated based on:

175
$$\tau_{app} = \Delta P \frac{R}{2L}$$
(2)

176
$$\dot{\gamma}_{app} = Q \frac{4}{\pi R^3} \tag{3}$$

177 Where ΔP is the pressure drop over the die (Pa), R = die radius (0.00365 m), L = die length 178 (0.0064 m) and Q is the volume flow rate (m³/s). Q was calculated based on the total flow 179 through the die and an approximate density of 1250 kg m⁻³ of the melted feed mass (Barron et 180 al., 2000; Ralston and Osswald, 2008).

181 Apparent viscosity of the feed mass in the die (V_{die}) was estimated by:

182
$$V_{die} = \frac{\tau_{app}}{\dot{\gamma}_{app}}$$
(4)

183 A Rapid Visco Analyser, (RVA; type RVA-4; Newport Scientific, Warriewood, NSW, 184 Australia; Whalen et al. 1997) was used to follow the pasting characteristics for uncoated feed. 185 The feed were ground on a Retsch ZM-1 centrifugal mill (Retsch GmbH, Haan, Germany) with 186 a ring sieve aperture of 0.5 mm. The measurement were based on 7.0 g DM of milled feed and 187 distilled water added to a total sample weight of 35.0 g. The following instrument profile was 188 used; hold at 25 °C in 5 min; ramp up to 95 °C from 5-10 min; hold at 95 °C in 7 min, cooldown to 25 °C from 17-22 min; hold at 25 °C in 5 min. The paddle was run at 960 rpm for 10 189 190 seconds to disperse the sample and then constant at 160 rpm the rest of the test period. The 191 following RVA parameters were determined: Cold viscosity (maximum viscosity at 25 °C); 192 Peak viscosity (maximum viscosity at 95 °C); Hold viscosity (minimum viscosity at 95 °C) 193 and Final viscosity (maximum viscosity after cool-down to 25 °C). Reported figures were 194 based on the average of duplicate measurements.

195 2.7 Experimental Design

The experiment was based on a 3-component augmented simplex-lattice mixture design by varying the content of HL-microalgae, WG, and SPC. The design represents a triangle with a total of 10 experimental settings including three vertex points (pure blends), three edge points (binary blends), three axial points (midway between the centroid and each vertex) and three 200 replications of the centroid point (a total of 12 trials; Table 3). A fraction of 45.5% DM was 201 varied. The rest of the ingredients in the feed mix were held constant (Table 2). HL-microalgae 202 was varied between 0.0-236.4 g/kg DM, WG between 36.4-272.8 g/kg DM and SPC between 203 181.8-418.2 g/kg DM (Table 3). There is a constant base level of WG (36.4 g/kg DM) and SPC 204 (181.8 g/kg DM) in all feed mixes. This means that e.g. on the vertex of a pure WG blend or a 205 binary HL-microalgae and WG blend there is a constant level of 181.8 g/kg DM of SPC. The 206 experiments were run in random order. The responses were SME, T_{die}, V_{die}, starch 207 gelatinisation, hardness, durability (Holmen and Doris), SEI, length, bulk density, Max fat, Fat 208 leakage, WSI and RVA parameters (Table 4 and 5).

209 2.8 Statistical Analyses

The main data structure was assessed by use of principal component analysis (PCA; Martens and Martens, 2001). The experimental data were fitted to Scheffe special cubic polynomial model (Cornell, 1990).

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214
$$y = \beta_1 x_1 + \beta_2 x_2 + \beta_3 x_3 + \beta_{12} x_{1x2} + \beta_{13} x_{1x3} + \beta_{23} x_{2x3} + \beta_{123} x_{1x2x3}$$
 (5)
215

216 In the models y is the estimated response. The β 's describe the shape of the response surface over the simplex region where β_i the expected response to the pure component *i*, β_{ij} the 217 218 quadratic coefficient of the binary synergism or antagonism (if β_{ij} is negative) for component i 219 and j and β_{ijk} represents the ternary blending coefficient of component i, j, k in the interior of 220 the triangle. The PCA was carried out using Unscrambler 9.8 (Camo, Oslo, Norway) on mean 221 centered and standardised variables. Design-Expert v10 (Stat-Ease, Inc. Minneapolis, USA) 222 was used for mixture design modelling and numerical optimisation on pseudo scaled 223 components. Basic statistics were carried out using STATISTICA v12.0 (StatSoft, Inc. Tulsa, 224 USA).

226 **3. Results**

227 *3.1 Data overview*

228 The experimental conditions resulted in a large span in the measured extruder and pellet 229 responses (Table 4 and 5) and different surface morphology of the dried pellet (Fig. 1). The 230 PCA loading plot visualize the dominant structure of the design variables and responses (Fig. 231 2) with principal component (PC) 1 explaining 57% and PC2 24% of the variance. Effects of 232 HL-microalgae and SPC were mainly explained by PC1, and effects of WG by PC2. HL-233 microalgae was positively associated with pellet length, bulk density, RVA hold and final viscosity and Doris 8.0-5.6 and 5.6-2.6 mm. HL-microalgae was strongly correlated to the lipid 234 level in the feed mix ($R^2 > 0.999$). The degree of starch gelatinisation was positive associated 235 236 with WG (Fig. 2). WG was also positively associated with hardness and Holmen durability. 237 There was, however, poor correlation between starch gelatinisation and the two responses 238 hardness and Holmen durability ($R^2 = 0.224$ and $R^2 = 0.347$, respectively). SPC was positively 239 associated with SME, T_{die}, V_{die}, SEI, Max fat, Fat leakage, WSI, Doris >8.0 and <2.6 mm and RVA cold and peak viscosity. RVA cold and peak viscosity, SME, T_{die} and V_{die} were positively 240 correlated ($R^2 = 0.646-0.958$). The feed samples showed different pasting characteristics where 241 242 high SPC levels gave the highest RVA cold and peak viscosity and high HL-microalgae level 243 the highest RVA hold and final viscosity (Table 5; Fig. 2 and 3). A strong positive correlation between SME and SEI was observed ($R^2 = 0.933$). The expansion parameters SEI, Max fat and 244 Fat leakage were positively correlated ($R^2 = 0.713-0.828$). Bulk density and Max fat were 245 strongly negatively correlated ($R^2 = 0.987$). 246

247 *3.2 Mixture models*

Based on the design variables, i.e. HL-microalgae, WG and SPC levels in the feed mix (Table 3), mixture models with acceptable (Lundstedt et al. 1998) to high explained variance for SME, T_{die}, V_{die}, hardness, durability (Holmen and Doris), SEI, length, bulk density, Max fat and WSI were established ($R^2 = 0.847$ to 0.998, P = 0.002 to < 0.001; Table 6). Weaker models where found for Fat leakage ($R^2 = 0.768$, P = 0.001) and starch gelatinisation ($R^2 = 0.602$, P = 0.051). No outliers were detected. The responses SME, length, bulk density and Max fat showed significant lack of fit, indicating less variation in the three replicated centroid points compared to the other experimental design points.

The correlated responses SME, T_{die} and V_{die} were fitted to linear models with the highest values found on the vertex of the pure SPC blend and lowest value on the vertex of the pure HL-microalgae blend (Table 6, Fig 4A, B, and C). The observed variance in the degree of starch gelatinisation was between 76.3% and 96.9% of total starch (Table 4). Starch gelatinisation was fitted to a reduced quadratic model with highest values for binary blends of WG and HL-microalgae and with the lowest value found on the vertex of the pure HLmicroalgae blend (Fig. 4D).

263 Hardness and durability responses were fitted to different models (Table 6). Hardness 264 showed a linear fit, Holmen durability and Doris >8.0 and 8.0-5.6 mm were fitted to special 265 cubic models and Doris 5.6-2.6 mm and <2.6 mm to reduced quadratic models. In general, pellet from all trials had satisfactory to high hardness (93.8-280.8 N; Table 4) and with the 266 highest value found on the vertex of the pure WG blend (Fig. 5A). Increased level of both HL-267 268 microalgae and SPC in the blend reduced hardness. Durability (Fig. 5B) created a ridge 269 downward sloping from the edge of the binary WG and HL-microalgae blend to the edge of 270 the HL-microalgae and SPC blend. The lowest values were found on the vertex of the pure HL-271 microalgae and the pure SPC blend. A similar but opposite pattern was observed for Doris <2.6 272 mm (Fig. 5C). Doris >8.0 mm showed highest values on the edge of the binary SPC and HL-273 microalgae blend and with the lowest value found on the vertex of the pure HL-microalgae 274 blend (Fig 5D).

The expansion parameters, SEI, Bulk density, Max fat and Fat leakage were all fitted to linear models, and length to a reduced quadratic model (Table 6, Fig. 6). Length was mainly affected by the HL-microalgae level in the three component blend (Fig. 6D). The linear model for SEI (Fig. 6A), Max fat (Fig. 6B) and Fat leakage (Fig. 6C) showed the highest value on the vertex of the pure SPC blend and consequently opposite for bulk density (Table 6). The linear model for WSI (Table 6) showed high values on the edge of the binary SPC and WG blend and with a reduction in WSI with increased level of HL-microalgae in the blends.

282 *3.3 Optimisation*

283 A numerical optimisation of the responses were performed using the following criteria; 284 (1) Tdie > 100° C, (2) maximize HL-microalgae in the feed mix, Holmen durability, Doris >8.0 285 mm and Max fat and (3) minimize Doris <2.6 mm and Fat leakage. With the given desired goal 286 for each factor, an optimal ternary blend space was suggested including a best local optimum. 287 In Fig. 5D the suggested upper boundary level of HL-microalgae in the blends and the local 288 optimum are shown. The optimum was found on the edge of the binary blend of HL-microalgae 289 and SPC (HL-microalgae = 131.6, WG = 36.4, SPC = 286.6 g/kg DM; Fig. 5D). This 290 corresponds to a lipid level in the feed mix of 128.2 g/kg DM.

291

292 **4. Discussion**

The effect of the inclusion levels of HL-microalgae, WG and SPC on the extrusion process, feed melt viscosity, physical pellet quality and expansion parameters is discussed below.

296 4.1 Effect on SME, T_{die} , and V_{die}

The response SME (Eq. 1) is a measure of the sum of the total mechanical energy dissipated over the total length of the extruder screw. The energy is mainly converted into heating of the material through viscous dissipation (Godavarti and Karwe, 1997; Della Valle 300 et al., 1989). In this study, screw design, screw speed, mass flow rate and moisture addition 301 were standardised. An increase in motor torque and consequently an increase in SME and 302 temperature will be a result of increased viscosity of the feed mass (Bhattacharya and Hanna, 303 1987; Akdogan, 1996), confirmed by the positive correlation between SME, T_{die} and V_{die} in 304 this study. The highest SME values are found for the SPC rich blends followed by WG (Fig 305 4A). This is consistent with the findings of Bhattacharya and Hanna (1986) and Bengoechea et 306 al. (2007) based on soy protein isolate/gluten blends and can be attributed to a lower glass 307 transition temperature (T_g) for gluten and the mobilisation of more protein groups above T_g 308 compared to soy protein (Bengoechea et al., 2007). HL-microalgae is a lipid rich ingredient 309 (Table 1) and at the vertex of pure HL-microalgae blend, the lipid level is as high as 191 g/kg 310 DM (Table 2). The reduced viscosity with increased HL-microalgae content can be attributed 311 to the lubrication effect of lipids in the feed mix.

312 *4.2 Effect on degree of starch gelatinisation and pellet pasting characteristic*

313 In the high HL-microalgae region due to the high lipid content, the feed mass was 314 poorly cooked with temperature <100 °C (Fig. 4B). This can explain the lower values of starch 315 gelatinisation for blends high in HL-microalgae compared to WG and SPC rich blends (Table 316 4, Fig. 4D). The variation in starch gelatinisation showed a low degree of explanation in the 317 PCA model (<50%) and was poorly correlated to the other responses in the design. The starch 318 level was kept at similar level (Table 2) and the result supports our earlier observations that the 319 applied glucoamylase methodology was not adequate for quantification of the binding 320 properties of the starch paste (Samuelsen et al. 2013). The mixture design was based on 321 commercial relevant levels of SPC and crude protein (Table 2; Ytrestøyl et al., 2015) and the 322 feed mixes were only processed for technical evaluations. The design was restricted by the 323 variation in the components HL-microalgae, WG and SPC, which had different chemical composition (Table 1), whereas micro ingredients (vitamins, minerals, amino acids, 324

astaxanthin), were not added, thus, the feed mixes were not nutritionally balanced. This approach gave somewhat higher starch content in the final feeds (Table 2) than commercial diets for salmonids (lower than \approx 130 g/kg, calculated based on Ytrestøyl et al., 2015). A lower starch content may reduce the physical pellet quality, however, in a mixture design this will also increase the level of, and possible binding contribution from other constituents including proteins. The effect of lower starch level can only be determined based on new studies.

In the study of Samuelsen et al. (2013) there was a positive correlation between starch gelatinisation and SME, explained by increased melt viscosity with increasing starch gelatinisation, giving a positive contribution to specific mechanical energy. However, the result could not be confirmed in this study, probably caused by a dominating effect of the mixture components and with highest viscosities and SME's found for the SPC rich blends.

336 RVA is an instrument initially developed to characterize reduced paste viscosity due to 337 sprout-damage of wheat, but can also be used as a rapid method to study starch pasting in 338 general, viscosity contribution of pure ingredients or blends, and the degree of cook in the 339 extrusion process (Whalen et al., 1997; Glencross et al., 2007, 2010; Tan et al., 2008). The 340 method is based on biopolymer swelling, starch granule rupture, and solubilisation effects in 341 excess moisture, and cannot directly be compared with biopolymer plasticisation and unfolding 342 at low moisture and high temperature conditions in the extrusion process. However, a positive correlation of RVA cold and peak viscosity with the extruder responses SME, T_{die} and V_{die} in 343 344 this study indicates that the two RVA parameters can be used as an indirect measure of the viscous heat dissipation and melt viscosity in the extrusion process. The lowest degree of starch 345 346 gelatinisation (76.3%; Table 4) was observed at the vertex of the pure HL-microalgae blend 347 and it was therefore expected a high peak viscosity for this blend. The opposite was observed, 348 with a low and delayed peak viscosity relative to the other blends (Table 5; Fig. 3). Debet and 349 Gidley (2006) observed a significant reduction in RVA viscosity onset temperature and the 350 highest peak viscosity for a pure wheat extracted for surface proteins and lipids. They 351 concluded that both proteins and lipids on the surface of the starch granules affected the 352 swelling characteristics. Although not directly comparable, this is consistent with the findings 353 in this study where the viscosity profile is determined by the feed mix composition and with the lipids as the most important factor reducing the swelling rate of the starch granules. The 354 355 lower hold and final viscosity found for the samples with the highest peak viscosities (Table 5; Fig 3) may be explained by a higher degree of granule swelling resulting in increased disruption 356 357 and solubilisation (Debet and Gidley, 2006). The HL-microalgae product used in this study 358 contain saturated fatty acids with melting point above 25 °C. Possible release of fat in the 359 cooking cycle and formation of emulsions and/or crystalline lipid structures during cooldown 360 might also have contributed to the high final viscosity observed in the high HL-microalgae 361 blend.

The results from this study indicates that the RVA method can be applied as an indirect measure of the melt viscosity in the extrusion process. It can, however, not be used to quantify the degree of starch gelatinisation in extruded feed mixes containing different levels and compositions of proteins, lipids and starches.

366 4.3 Effect on pellet hardness, durability and dust

Different plasticisation temperatures may explain the higher physical quality (hardness 367 368 and Holmen durability; Fig. 5A and B) and lower dust fraction (Doris <2.6 mm; Fig. 5C) found 369 for WG rich compared to SPC rich blends. At comparable moisture level, Bengoechea et al. 370 (2007) documented a $T_g \sim 65$ °C lower for gluten compared to soya protein isolate and a significantly lower temperature difference between T_g and the flow-transition temperature (~ 371 372 38 °C). Soya protein isolate is more refined than SPC and a direct comparison can therefore not be performed. However, based on the results it can be hypothesised that at the 373 374 predetermined moisture level and the achieved temperature from steam and viscous dissipation,

the WG rich blends has been properly plasticised resulting in a high physical quality. At even higher viscous dissipation for the SPC rich blends, the moisture level in the process has still been too low for complete plasticisation. This may have resulted in increased level of nonplasticised particles in the extrudate giving poor pellet durability and high dust fraction (Arêas, 1992; Mitchell and Arêas, 1992).

In contradiction to Holmen durability the highest values for Doris unbroken (>8.0 mm) were found for SPC rich blends (Table 4, Fig. 5D) indicating a harsher treatment in the Holmen tester compared to Doris when performed on uncoated pellet. The high values for Doris unbroken and Doris dust for the SPC rich blends indicates that these pellets tend to crack into dust rather than pieces, confirming the above hypothesis of high particulate matter in the SPC rich pellets.

386 In the high HL-microalgae region poor physical pellet quality were expected and 387 documented through the low values for hardness, Holmen durability and Doris unbroken (Table 388 4; Fig. 5A, B and D). This is mainly due to the lubrication effect of the lipids giving low viscous 389 heat dissipation and undercooked melt with T_{die} <100 °C. For binary HL-microalgae and SPC 390 blends, increased Holmen durability and reduced dust were observed when partly replacing 391 SPC with HL-microalgae, and with an optimum near the edge point of the binary blend (Fig. 5 392 B and C). The protein fraction in the HL-microalgae consists of ~39% water soluble protein 393 (Kousoulaki et al., 2016). The oil fraction is mostly triglycerides but contains some free fatty 394 acids (~3%). Water soluble protein and free fatty acids are reported to have plasticising effects 395 (di Gioia and Guilbert, 1999; Pommet et al., 2003; Oterhals and Samuelsen, 2015; Samuelsen 396 and Oterhals, 2016). The plasticising effect of such constituents in combination with lower 397 SPC level may have improved overall plasticisation of the feed mass and physical pellet 398 quality. At higher HL-microalgae level, this is reversed due to the lubrication effect of the 399 lipids.

400 *4.4 Effect on expansion parameters and water stability*

The experimental conditions resulted in different expansion and surface morphology of the 401 402 dried feed (Fig. 1). Steam pressure is the main driving force for feed melt expansion (Fan et 403 al., 1994). Expansion can be increased with higher steam flashing rate, i.e. increase in T_{die}. The 404 extrusion conditions and moisture content were kept constant in this study and an increase in 405 T_{die} will thereby be a direct response to increased viscous heat dissipation, i.e. increased melt 406 viscosity and SME. This was confirmed by the high correlation between the responses SME, 407 V_{die}, and T_{die}, which all are positively correlated to the expansion parameter SEI, Max fat and 408 Fat leakage (Fig. 2). A higher expansion gives more pore volume available for fat adsorption, 409 however, also larger pore structures with increased tendency for fat leakage. A higher lipid 410 level in the dry feed mix (i.e. higher HL-microalgae inclusion level) demands less oil to be 411 coated onto the dried expanded feed to meet the target final fat content. A lower SEI can thereby 412 be accepted with a positive effect on fat leakage. Bulk density was negatively correlated to 413 Max fat and reflects a less expanded and more dens pellet structure, in line with Samuelsen and 414 Oterhals (2016). The above results indicate that all the measured expansion parameters are 415 mainly controlled by viscous heat dissipation and directly linked to the ratio between the 416 components HL-microalgae, WG and SPC in the mixture design.

Insignificant changes in longitudinal expansion were observed in a large composition region and with a sharp increase at high HL-microalgae content (Fig. 6D). The increase corresponds to low T_{die} (Fig. 4B) which gives low driving force for diametrical expansion (SEI, Fig. 6A) and resulting increased longitudinal mass flow rate. A low apparent viscosity (V_{die}) in this mixture region (Fig. 4C) may also give increased structure collapse after expansion (Fan et al., 1994) and contribute to the formation of dense and long pellets.

Water stability of a feed is most important for aquatic slow eaters but may also influence
the degradation pattern in the gastrointestinal tract of the fish (Hilton et al., 1981; Baeverfjord

et al., 2006; Sørensen, 2012). The linear model for WSI (Table 6) showed that WSI was
negatively affected by the HL-microalgae content in the three-component blend and to lesser
degree the SPC and WG content. This observation indicates that water stability of a feed can
be controlled by varying the HL-microalgae or fat content in a feed mix.

429

430 4.5 Optimisation study and impact on industrial feed processing

431 The numerical optimisation study were based on standardised extrusion conditions, HLmicroalgae levels and the obtained responses, T_{die}, Holmen durability, Doris >8.0 and <2.6 432 433 mm, Max fat and Fat leakage. Pellet expansion and physical quality can also be controlled by 434 change in extrusion parameters as water, steam and mechanical energy (Blanche and Sun, 435 2004; Samuelsen and Oterhals, 2016). In addition, starch level and in-between variation of the 436 used ingredients due to different species, cultivars, agricultural practice, geographical area, 437 environmental conditions, crop year, and processing methods and conditions have not been 438 included (Hill et al., 2010a, 2010b; Samuelsen et al., 2014). Results from this type of 439 optimisation approach has limitations and should only be used for indicative purposes and 440 general recommendations. A large range of binary and ternary mixtures meets the criteria with 441 the best local optimum found slightly above the edge point of the binary HL-microalgae and 442 SPC blend (Fig. 5D). At this point the HL-microalgae content in the feed mix is 131.6 g/kg DM, corresponding to a lipid level of 128.2 g/kg DM and close to the maximum recommend 443 444 lipid level consider to have limited negative effect on feed quality (120 g/kg; Rokey 1994). SPC rich diets tolerate higher HL-microalgae content compared to the WG rich blends. This 445 may be attributed to the higher viscosity for these blends (Fig. 4C) giving higher viscous heat 446 447 dissipation and improved physical quality. In practical terms, 131.6 g/kg DM HL-microalgae 448 correspond to 109.0 g/kg HL-microalgae in a final coated feed with 30% of total lipid content; 449 equivalent to 2.0% EPA+DHA. This is above the safe EPA+DHA levels for good growth and

- health performance of salmon, i.e. 1.6% in the feed (Bou et al., 2017). A recent long term
 growth study documented that *Schizochytrium* sp. can replace fish oil in feeds without negative
 effects on growth performance of Atlantic salmon (Kousoulaki et al., 2017)
- 453

454 **5. Conclusion**

The ratio between the components HL-microalgae, WG and SPC in the mixture design had significant impact on all the measured extrudate and pellet responses. A high HL-microalgae content (132 g/kg DM) can be used in plant based feed mixes without compromising feed quality, indicating that dry HL-microalgae ingredient can be used as an alternative and sustainable source of n-3 LC-PUFA in aquaculture feeds. For high SPC diets, the HLmicroalgae addition may in addition give favourable processing effects with respect to improved overall plasticisation and physical pellet quality.

462

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604 **Figure legends**

605 **Fig. 1**

606 Pellet surface morphology for the centroid and vertices: A) centroid (ENo 3), B) pure HL-607 microalgae blend (ENo 5), C) pure WG blend (ENo 11) and D) pure SPC blend (ENo 8).

608 Detailed composition data and abbreviations are given in Table 3.

609

610 Fig. 2

Principal component analysis (PCA) correlation loading plot based on experimental design and
response variables. The two ellipses represent 50 and 100% of explained variance.
Abbreviations are explained in Table 3 and 4.

614

615 **Fig. 3**

Rapid Visco Analyser (RVA) profiles for the centroid (ENo 3), pure HL-microalgae blend
(ENo 5), pure WG blend (ENo 11), and pure SPC blend (ENo 8). Detailed composition data
and abbreviations are given in Table 3. RVA parameters can be found in Table 5.

619

620 Fig. 4

Contour plots for extrusion parameters and starch gelatinisation. Actual inclusion levels (g/kg
DM) for the three components are given for the pure (vertex) and binary (edge) points. The
contours are flagged with the actual unit of the respective response. Solid red circles represent
the design points. A) Specific mechanical energy (Wh/kg), B) Temperature behind the die (°C),
C) Apparent viscosity in the extruder die (Pa s), D) Degree of starch gelatinisation (% of total
starch). Detailed composition data and abbreviations are given in Table 3.

628 **Fig. 5**

Contour plots for physical quality parameters. Actual inclusion levels (g/kg DM) for the three components are given for the pure (vertex) and binary (edge) points. The contours are flagged with the actual unit of the response. Solid red circles represent the design points. A) Hardness (N), B) Holmen durability (%), C) Doris <2.6 mm (%), D) Doris >8.0 mm (%). The dotted line defines the upper boundary for the numerical optimisation and the dotted circle marks the local optimum at HL-microalgae = 131.6, WG = 36.4 and SPC = 286.6 g/kg DM. Detailed composition data and abbreviations are given in Table 3.

636

637 Fig. 6

638 Contour plots for pellet expansion parameters. Actual inclusion levels (g/kg DM) for the three 639 components are given for the pure (vertex) and binary (edge) points. The contours are flagged 640 with the actual unit of the response. Solid red circles are the design points. A) Sectional 641 expansion index, B) Oil adsorption capacity (g/kg) C) Fat leakage (g/kg), D) Length (mm). 642 Detailed composition data and abbreviations are given in Table 3.

643

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645

646

649 Table 1 650 Proxima

650 Proximate chemical composition of the feed mix ingredients (g/kg)

	HL-	WG	SPC	FM	CGM	Wheat
	microalg	ae				
DM	972	947	935	927	886	876
In DM						
Crude protein	154	845	641	726	660	142
Lipid	632	43	29	136	43	27
Total ash	36	8	65	165	14	17
Carbohydrate ^a	178	104	265		283	814

651 HL-microalgae, high lipid microalgae (*Schizochytrium* sp.); CGM, corn gluten meal; DM, dry matter; FM,

652 fishmeal; SPC, soy protein concentrate; WG, vital wheat gluten; Wheat, whole wheat flour.

653 ^aEstimated by difference (1000 - protein - lipid - total ash).

EX6 EX7 EX9 EX10 EX2 EX5 EX1 EX3 EX4 EX8 Formulation HL-microalgae WG SPC FM CGM Wheat Water^b Chemical composition DM^c In DM Crude Protein^c Lipid^c Total Ash^c Carbohydrate^c Starch

655 Formulation and proximate chemical composition of the experimental feed mixes fed to the extruder system (g/kg)^a

656 HL-microalgae, high lipid microalgae (*Schizochytrium* sp.); CGM, corn gluten meal; DM, dry matter; EX, experimental feed mix; FM, fishmeal; SPC, soy protein

657 concentrate; WG, vital wheat gluten; Wheat, whole wheat flour.

^a Proximate chemical composition calculated for diets at lipid level of 320 g/kg DM: Crude Protein, 371±18.3 g/kg; Total ash, 41±3.7 g/kg; Starch, 158±6.7 g/kg.

^b Water was sprayed homogeneously into the feed mixes at least 24 hours before processing to secure even partitioning and adsorption into the feed matrix.

660 ^cCalculated based on raw material analyses (Table 1).

663 Pseudo and actual units for the experimental design variables, high lipid microalgae (*Schizochytrium*

ENo	Feed mix	Pseudo	o units		HL- microalgae ^a (g/kg DM)	WG ^a (g/kg DM)	SPC ^a (g/kg DM)
1	EX8	0.167	0.167	0.667	39.4	75.8	339.4
2	EX4	0.500	0.000	0.500	118.2	36.4	300.0
3	EX7	0.333	0.333	0.333	78.8	115.2	260.6
4	EX2	0.000	0.500	0.500	0.0	154.6	300.0
5	EX5	1.000	0.000	0.000	236.4	36.4	181.8
6	EX7	0.333	0.333	0.333	78.8	115.2	260.6
7	EX9	0.667	0.167	0.167	157.6	75.8	221.2
8	EX3	0.000	0.000	1.000	0.0	36.4	418.2
9	EX7	0.333	0.333	0.333	78.8	115.2	260.6
10	EX6	0.500	0.500	0.000	118.2	154.6	181.8
11	EX1	0.000	1.000	0.000	0.0	272.8	181.8
12	EX10	0.167	0.667	0.167	39.4	194.0	221.2

sp.; HL-microalgae), vital wheat gluten (WG) and soy protein concentrate (SPC).

665 DM, dry matter; ENo, experiment number; EX, experimental feed mix.

^aBased on DM in feed mixes.

668 Extruder and pellet responses.

	Extruder			Pellet												
ENo	SME (Wh/kg)	T _{die} (°C)	V _{die} (Pa s)	Starch gel ^a (%)	Hardness (N)	Dur. (%)	Doris >8.0 (%)	Doris 8.0-5.6 (%)	Doris 5.6-2.6 (%)	Doris <2.6 (%)	SEI	Length (mm)	Bulk density (g/L)	Max fat ^b (g/kg)	Fat leakage (g/kg)	WSI (g/kg)
1	48.0	117.8	764	83.5	111.9	74.0	59.5	21.6	10.6	6.7	2.14	11.19	447	440	60.0	83.3
2	38.8	111.8	497	90.0	96.5	80.8	64.7	22.2	8.3	4.4	1.87	11.09	512	313	45.0	69.1
3	38.9	110.9	635	89.8	139.3	86.0	59.4	23.4	13.2	3.9	1.75	10.75	542	278	48.0	62.6
4	41.8	116.8	834	88.4	165.3	76.7	43.5	31.4	17.4	7.7	2.03	11.11	487	377	58.0	85.6
5	20.0	91.3	130	76.3	93.8	39.6	35.1	32.4	24.3	7.8	1.12	15.23	580	181	43.0	2.0
6	39.5	111.1	668	92.9	154.5	85.3	61.3	21.8	12.3	4.5	1.78	10.94	542	281	50.0	66.9
7	29.0	100.1	260	94.0	105.8	73.2	48.5	25.6	20.1	5.5	1.25	13.71	559	221	45.0	2.8
8	52.5	125.9	817	92.8	117.4	40.5	64.0	14.3	10.6	10.6	2.48	12.15	364	606	63.0	83.9
9	39.2	112.3	575	96.5	168.6	86.2	62.9	21.1	11.9	4.1	1.73	10.75	544	276	43.0	54.5
10	29.2	103.5	361	96.9	148.8	85.2	46.3	30.3	19.1	4.0	1.47	11.63	568	224	44.0	35.3
11	35.1	110.7	620	94.4	280.8	87.6	54.3	25.5	14.2	5.8	1.81	10.30	562	250	48.0	88.4
12	35.2	110.2	649	93.7	226.0	90.8	53.0	26.9	16.3	3.7	1.63	10.79	585	216	48.0	66.6

669 Doris, Doris parameters in mm; Dur., Holmen durability; ENo, experiment number; Max fat, oil adsorption capacity; SEI, sectional expansion index; SME,

670 specific mechanical energy; Starch gel, degree of starch gelatinisation; T_{die}, temperature behind extruder die; V_{die}, apparent viscosity in the extruder die; WSI,

671 water holding capacity.

^a % of total starch.

^b g/kg of total oil adsorbed.

675 Rapid Visco Analyser parameters.

	Cold	Peak	Hold	Final
	viscosity	viscosity	viscosity	viscosity
ENo	(mPa s)	(mPa s)	(mPa s)	(mPa s)
1	1059	2638	325	1437
2	479	1512	337	1450
3	609	1662	454	1674
4	1036	3083	529	1724
5	15	1081	672	2167
6	711	1787	482	1695
7	137	1285	798	2302
8	2026	3389	382	1652
9	676	1578	491	1746
10	184	1264	694	2150
11	450	2438	617	1872
12	594	1909	726	2054

676

ENo, experiment number.

679 Mixture models.

Variables	Pseudo Component model	P - value	Lack of fit (P)	R^2
SME ^a	22.15 A + 35.29 B + 54.35 C	< 0.001	0.017	0.957
$T_{die}{}^a$	93.08 A + 111.04 B + 126.48 C	< 0.001	0.170	0.974
$V_{die}{}^{a}$	116.70 A + 684.87 B + 900.71 C	< 0.001	0.357	0.929
Starch gel ^b	80.22 A + 91.65 B + 90.29 C + 48.68 AB	0.051	0.382	0.602
Hardness ^a	80.41 A + 268.08 B + 103.68 C	< 0.001	0.456	0.921
Dur. ^c	39.04 A + 87.85 B + 40.85 C + 89.79 AB + 162.59 AC + 51.81 BC - 91.51 ABC	< 0.001	0.110	0.998
Doris >8.0 ^c	34.07A + 54.69 B + 63.65 C + 5.46 AB + 57.86 AC - 62.46 BC + 252.11 ABC	0.002	0.275	0.967
Doris 8.0-5.6 ^c	32.25 A + 25.48 B + 14.58 C + 5.10 AB - 4.43AC + 46.72 BC -193.88 ABC	< 0.001	0.812	0.985
Doris 5.6-2.6 ^b	25.15 A + 14.07 B + 10.59 C - 40.07 AC + 14.82 BC	< 0.001	0.110	0.917
Doris <2.6 ^b	8.23 A + 5.23 B + 10.33 C -12.33 AB - 19.44 AC	< 0.001	0.176	0.941
SEI ^a	1.08 A + 1.72 B + 2.47 C	< 0.001	0.062	0.954
Length ^b	15.16 A + 9.78 B + 12.09 C - 10.15 AC	< 0.001	0.026	0.881
Bulk density ^a	597.67 A + 586.00 B + 389.33 C	< 0.001	0.002	0.903
Max fat ^a	147.58 A + 213.25 B + 554.92 C	< 0.001	0.004	0.919
Fat leakage ^a	39.03 A + 47.69 B + 62.03 C	0.001	0.567	0.768
WSI ^a	- 2.44 A + 81.93 B + 95.76 C	< 0.001	0.170	0.847

 $\overline{A} =$ high lipid microalgae (*Schizochytrium* sp.), B =vital wheat gluten, C =soy protein concentrate.

681 Abbreviations explained in Table 4.

^a Linear model.

 b Reduced quadratic model, backward elimination of non-significant (P < 0.05) variables.

684 ^c Special cubic model.



































